ACCURATE IDENTIFICATION OF PAVEMENT MATERIALS SUSCEPTIBLE TO MOISTURE DAMAGE WITH ADVANCED TEST METHODS AND MACHINE LEARNING TECHNIQUES

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Abstract

Moisture induced damage in Hot Mix Asphalt (HMA) mixture is a prevalent problem all over the world. It is one of the leading causes of premature failures in asphalt pavements and a significant concern to the paving industry. It is, therefore, necessary to identify mixes that are susceptible to moisture damage during the mix design process. Extensive research has been carried out by several researchers over the years to develop a reliable and practical laboratory test procedure that can simulate field moisture damage conditions and that can make predictions that are likely to correlate to field performance. However, it is inferred from literature that no single laboratory test method can accurately predict the moisture induced damage performance HMA mixtures.

The objectives of the present study are to: Develop a framework that considers different test methods to predict the moisture induced damage of Hot Mix Asphalt (HMA); Develop a suitable machine learning method to achieve significantly high accuracy in predicting the moisture damage potential of Hot Mix Asphalt (HMA); and develop a tool (App) for use by practicing engineers to identify HMA mixes that are likely to be susceptible to moisture induced damage.

A total of 35 in-plant produced asphalt mixtures with known field performance were sampled, and compacted in the laboratory, and the compacted samples were subjected to mechanical tests before and after moisture conditioning with the Moisture Induced Stress Tester (MiST). In addition, the effluent from the MiST was checked for Dissolved Organic Carbon (DOC) content and gradation of dislodged aggregates. Fourier-Transform Infrared Spectroscopy (FTIR) analysis of the asphalt extracted from HMA samples was performed to observe changes in the functional groups before and after the MiST test.

Statistical analysis showed that seismic modulus and indirect tensile strength were effective in distinguishing poor-performing mixes from the well-performing mixes. Principal component analysis was conducted on the test data, and a reduced set of dimensions that were capable of explaining significant variance in the data was identified. The significant test properties were used to develop machine-learning models with two supervised classification approaches. The k-nearest neighbor model was found to be very accurate in differentiating the mixes. The use of MiST conditioning, specified physical tests, and machine learning methods are recommended for the identification of moisture-susceptible hot mix asphalt.

Contribution of this Work

The major contribution of this work is the creation of a framework or a system that combines appropriate test methods and suitable machine learning models to achieve high accuracy (84%) in predicting the moisture damage potential of Hot Mix Asphalt (HMA). A secondary contribution is that this study, for the first time, combines the principles of Artificial Intelligence (AI), in the form of Machine Learning (ML), with the field of pavement performance, specifically for the evaluation of mixes that are subjected to moisture damage. Finally, the work provides users with a highly accurate ML model as well as an app, which can be used and further improved.

Dedication

I dedicate this dissertation to my parents who were the source of inspiration, guidance and support that enabled me to carry out my research for the Master's and Doctoral programs at the prestigious Worcester Polytechnic Institute. I also dedicate the thesis to my advisors Professor Rajib Mallick and Dr. Aaron Sakulich for their constant guidance, encouragement and support that shaped my professional competency in the field of pavement engineering.

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6.0

1.0 INTRODUCTION

1.1 Importance of Studies on Moisture Induced Damage to HMA

Highway infrastructure plays a vital role in the economic development and growth of a nation leading to social benefits. One of the most difficult challenges for the development of any road network is to execute projects in harmony with the concept of sustainable development. It is, therefore, necessary to develop sustainable highway pavements that are highly durable, energy-efficient, and cost-effective for the construction and maintenance of roads.

A majority of highways and airfield pavements throughout the world are surfaced with Hot Mix Asphalt (HMA). The surface layer acts as a "wearing" course and is designed not to be affected significantly during service life by traffic load/tire pressure repetitions and the environment. Generally, this surface HMA layer acts as an 'impermeable' layer to prevent the ingress of water into the pavement structure. This is because water has been identified as the single most destructive element in pavements leading to pavement distresses such as cracking and rutting. Such moisture-related distresses in pavements has been observed since the late 1920s and has been regarded as a national concern. Extensive research conducted by Hicks (1991) has shown that about half of the states in the United States had experienced moisture-related distresses. Moistureinduced damage is therefore regarded as one of the leading causes of premature failures in asphalt pavements and a major concern to the paving industry. Moisture in any form combined with traffic and environmental conditions can cause a significant loss in asphalt pavement strength and durability (Al-Swailmi et al. 1994). The mechanisms by which it occurs depends on several factors such as material properties (aggregate and binder properties), mixture properties (voids, permeability, etc.), and external factors (moisture exposure conditions, etc.) (Bagampadde et al. 2005, Masad et al. 2006, Lottman et al. 1978, Read et al. 2003).

Over the years, extensive research has been conducted to develop a reliable and practical laboratory test procedure that can simulate field moisture damage conditions, and that can make predictions that correlate well with the field performance. From the literature, it can be concluded that no single laboratory test method can be used to accurately predict the performance of a given

HMA mixture due to various potential mechanisms of moisture damage. Research conducted by the Maine Department of Transportation (MDOT) and other agencies have found the moisture conditioning of HMA samples with the Moisture Induced Stress Tester (MiST) and the use of Indirect Tensile Strength test (ITS) to be effective in screening moisture susceptible HMA mixtures (Arepalli *et al.* 2017, Mallick *et al.* 2001). However, the test results were not found to be sufficiently accurate to justify the use of the MiST and ITS procedures on a regular basis.

Conventional statistical models such as linear regression models or significance tests are generally used to develop relationships among variables such as relating mix properties to performance or screen poor-performing mixes. The main disadvantage of such conventional statistical methods is that they cannot estimate nonlinear and complex relationships accurately (Nivedya *et al.* 2018). Recent advances in statistics and data science have led to the development of Machine Learning (ML) techniques. ML is a sub-field of artificial intelligence that allows the computers to learn without being explicitly programmed. Unlike statistics, ML requires no prior assumptions about the relationships between the variables. The ultimate goal of ML is to develop computer algorithms that can cluster or classify or make predictions and is particularly applicable under the following conditions: There is a multitude of factors that influence the target (of regression) or the outcome (of classification or clustering); and, the relationship between the predictors or the variables to the target or the outcome is not simple – it is very complex, and the assumption of linearity in models is not valid. ML techniques can be used to predict HMA mix properties more accurately than conventional statistical approaches. There is a need for research on accurate prediction of mix performance using ML techniques.

1.2 Objectives

The overall objectives of this study were to determine a suitable set of tests that could be used with a moisture conditioning process and to develop a machine-learning model with appropriate test data to predict the moisture susceptibility of HMA. The specific objectives of the present study are as follows:

1. Development of a framework that considers different test methods to predict the moisture induced damage of Hot Mix Asphalt (HMA).

2. Develop suitable machine learning methods to achieve significantly high accuracy (84%) in predicting the moisture damage potential of Hot Mix Asphalt (HMA).

3. Development of a tool for use by the practicing engineers to identify hot mix asphalt samples that are likely to be affected by moisture induced damage.

1.3 Scope of the Present Study

The scope of the present study is limited to laboratory experiments on hot mix asphalt to study the various factors influencing the moisture induced damage. The findings of the laboratory experiments were validated from samples of hot mix asphalt obtained from the field. The laboratory performance of the HMA samples was validated with field HMA samples. An App has been developed for use by the practicing engineers to identify HMA mixes that are susceptible to moisture induced damages from limited laboratory experiments.

2.0 LITERATURE REVIEW

2.1 Importance of Research on Moisture Induced Damage in HMA

Moisture damage in asphalt pavement is a complex phenomenon involving many factors. It is one of the major causes of distress in HMA and has been considered a national issue (D'angelo, 2003; Epps *et al.*, 2003; Little *et al.*, 2003). In general, not all damages are caused directly by moisture, but its presence accelerates the extent and severity of different distresses. Moisture damage has been defined as the loss in structural strength and durability of HMA mixtures due to the deterioration caused by the effects of moisture. The existence of moisture in pavement can lead to loss of cohesion within the asphalt binder itself or the loss of interfacial adhesion between binder and aggregates. Figure 2.1(a) and 2.1(b) show typical distresses that may occur due to moisture damage.



Figure 2.1(a): Longitudinal Cracking Due to Moisture Induced Damage in HMA Layers Figure 2.1(b): Alligator Cracks Due to Moisture Induced Damage in HMA Layers

Several factors contribute to moisture induced damages in HMA. The properties of the aggregates, properties of the binder and mixes as well as external factors contribute towards moisture induced damages in HMA (Table 2.1).

Aggregate Properties	Binder Properties	Mixture Properties	External Factors
Mineralogy	Rheology	Void content	Rainfall
Surface texture	Physico-chemical properties	Permeability	Humidity
Porosity	Constitution	Asphalt content	Water pH
Dust	Surface free energy	Asphalt film thickness	Presence of salts
Durability		Filler type	Temperature
Surface area		Aggregate grading	Temperature cycling
Surface free energy		Type of mixture	Traffic
Absorption			Design
Moisture content			Workmanship
Shape			Drainage
Weathering			

 Table 2.1: Factors That Can Contribute to Moisture Damage in Pavements (Varveri, 2017)

2.2 Aggregate Properties

Some of the important properties of coarse aggregates that are generally considered during the mix design process are strength, shape, texture, and gradation. Since about 95% of a typical HMA mix is made up of mineral aggregates it is essential to consider their properties such as mineralogy, geometric irregularities, and gradation that can be directly related to pavement performance. In addition, it can be inferred from the literature that moisture sensitivity is affected by aggregate chemistry as well as asphalt chemistry. Hence, there is a need to investigate the mineralogy of aggregates and its effects on the asphalt-aggregate system under different conditions.

Natural aggregates are generally classified into three broad categories: Igneous rocks, sedimentary rocks, and metamorphic rocks. Some of the common types of igneous rocks include granite (commonly found in New England states), diorite, gabbro, etc. Rocks are aggregates with one or more minerals whereas minerals are naturally occurring, inorganic solids with a definite chemical composition and a crystalline structure formed by geological processes. The amount of silica in igneous rocks (acidity) can vary from 75% to less than 45%. Higher percentages of silica

have been known to reduce asphalt-aggregate bond strength (adhesive failure). For example, marble and limestone (sedimentary) are known to be basic and have lower percentages of silica whereas sandstone granite and quartzite (metamorphic) are acidic. It is also well known that most siliceous aggregates such as granite are negatively charged in the presence of water indicating that they are hydrophilic aggregates. Figure 2.2 shows the classification of common types of igneous rock.







Asphalts are highly complex materials. They contain saturated and unsaturated aliphatic and aromatic compounds. These compounds are classified as asphaltenes or maltenes according to their solubility in hexane or heptane solvents. Asphaltenes have high molecular weight species and they are insoluble in these solvents. Maltenes have lower molecular weights and are soluble. Asphalts normally contain between 5% and 25% by weight of asphaltenes. Research work carried out by Ribero (2009) found that some of the minerals like feldspar and biotite contained numerous binding sites where the adsorption of asphaltenes takes place due to the presence of aluminum in the structure of the minerals. On the other hand, quartz with lower aluminum content was found to hinder the interaction between the two materials. Fisher (2013) verified the mechanism using Atomic Force Microscopy (AFM) study. The research done by Bagampadde (2005) found that the higher percentages of both quartz and alkali feldspar in aggregates increased the moisture susceptibility of asphalt mixtures, but higher resistance to moisture damage was found when the quartz content in the aggregate was 100%. Hicks (1991), classified aggregates based on the degree of stripping (i.e. separation of asphalt binder from aggregate surfaces due primarily to the action of moisture) as shown in Table 2.2.

Minerals						
Slight stripping	Moderate stripping	Severe stripping				
Biotite	Biotite	Biotite				
Hornblende	Hornblende	Hornblende				
Feldspars	Feldspars	Feldspars				
Labradorite	Oligoclase	Oligoclase				
Bytownite	Albite	Albite				
Anorthite	Anorthite	Anorthoclase				
Chlorite	Garnet	Microcline				
Sercite muscovite	Quartz	Perthite				
Diopside	Muscovite	Andesite				
Olivine		Chalcedony				
Pyroxenes		Quartz				
Augite						
Calcite						
	IGNEOUS ROCKS					
Slight stripping	Moderate stripping	Severe stripping				
Gabbro	Biotite granite	Biotite granite				
Basalt	Basalt	Aplite granite				
Greenstone	Olivine dolerite with analcite	Pegmatite granite				
Quartz dolerite	Quartz diorite	Soda granite				
Diabase	Andersite	Granodiorite				
Scoria	Diabase	Albitised olivine-diorite				
Slight stripping	Moderate stripping	Severe stripping				
Peridotite	Obsidian	Diorite				
Scoria		Rhyolite				
Peridotite		Trachyte				
		Pumice				
		Dacite				
		Syenite				
METAMORPHIC ROCKS						
Slight stripping	Moderate stripping	Severe stripping				
Silicious river sand	Biotite feldspar gneiss	Quartzite				
Silicious sand with iron oxide	Feldspar quartz-sercitesnesis	Granite gneiss				
Serpentine	Granite quartz-feldspar gnesiss	Quartzite-sericite schist				
	Biottie-muscovite schist	Feldspathic-quartzite				
	Diabase-hornfeis	Biotite schist				
	Hornblende-gneiss	Muscovite schist				

 Table 2.2: Classification of Aggregates Based on Degree of Stripping (Hicks, 1999)

SEDIMENTARY ROCKS					
Slight stripping	Moderate stripping	Severe stripping			
Limestone	Limestone	Iron oxide-rich arkose			
Dolomite	Dolomite	Chert			
Graywacke	Lime rock	Flint			
Lime rock	Reed coral	Breccia			
	Calcareous sandstone	Feldspadic sandstone			
		Sandstone			
		Chalk			
		Oolitic limestone			
		Argillaceous sandstone			

 Table 2.2: Classification of Aggregates Based on Degree of Stripping (Hicks, 1999)

(continued)

Surface texture is known to affect the mechanical bond strength between asphalt and aggregate. Aggregate with rough surface texture and/or high amount of surface porosity will increase the moisture damage resistance of HMA mainly because of the availability of more surface area for the asphalt to bind. Many siliceous aggregates are known to have low porosity or smooth surface texture, but this may not be the case always because, for example, granites do have very high surface texture and can create an excellent mechanical bond but may suffer in chemical bonding. Aggregates like limestone may sometimes contain a high amount of calcite, which is known to interact with calcium carbonate instead of asphalt and may result in a reduced aggregate surface area.

During the aggregate crushing process, dust is generally generated and based on the nature and extent of the dust, it may affect the moisture susceptibility of a mix. The presence of dust coating on the aggregate surface can prevent the asphalt binder from forming a bond directly on the surface of the aggregate. The asphalt layer coated over an aggregate will not allow water to penetrate through it. Water entering through the pores/dust and localized between the binder and surface of the stone can cause stripping. However, this effect is significant only when the aggregate is coated with large amounts of dust. In certain special cases, when a small amount of clay dust particles is coated on the aggregates, it can act as an emulsifier. Similarly, gravel aggregates may have shale. The crushed shale may break down during in-service and may cause a problem of adhesion. Clay has been known to expand in the presence of water, and in the process can strip the asphalt off the surface of aggregate. If this is combined with the action of traffic, the clay will emulsify the asphalt in the mix and can cause severe stripping.

Water absorption rate, amount of water uptake, and the presence of micro cracks in the aggregate surface may have a severe effect on the moisture susceptibility of a mix. It can be said that the aggregates that absorb more water are likely to absorb more asphalt as well. If during the mix design process the percentage of binder added is incorrect without considering the absorption capacity, then more amount of binder would be absorbed by the aggregates and the effective asphalt may be insufficient to bind the aggregates together. This may lead to segregation, raveling, cracking and stripping. Segregation in a hot mix asphalt (HMA) mixture can be defined as the detachment of the coarse aggregate particles in the mix from the rest of the mass, which may be due to insufficient binder in the mix. Raveling is defined as progressive separation and dissociation of fine aggregate particles and binder from the bituminous surface, which is a direct consequence of stripping of the binder from the aggregates as an effect of moisture induced damage. Cracks develop due to either insufficient asphalt content, excessive filler, improper compaction or excessive moisture in the pavement layers. Stripping is a defect which is characterized by the separation of the asphalt film from the surface of the aggregate particles, due to the presence of moisture. Therefore, it can be inferred that moisture in pavement layers cause catastrophic effect and there is a need to understand and quantify the influence of moisture on the performance of asphalt pavements.

2.3 Binder Properties

Asphalt is a viscoelastic material derived from crude oil. Its properties are mainly dependent on the source of the crude oil from which the asphalt is derived. The asphalt binder consists of two principal chemical groups within the binder, the maltenes (saturates, aromatics, and resins) and asphaltenes. These two chemical groups are primarily responsible for the rheology of the asphalt. Hefer *et al.*, 2005 conducted a study to understand the relative affinity of aggregate surfaces to the functional groups from asphalt binder and water. Table 2.3 shows the affinity of asphalt functional groups for aggregate surfaces. From the table, it can be inferred that the functional groups of asphalt binder that are significantly adsorbed on the aggregate surface are

more prone to being displaced by water. The displacement of the binder by water at the interface region is a chemically favorable phenomenon. Robertson (2000) stated that the overall polarity within the organic molecules promotes the attraction of polar asphalt components to the polar aggregates. He further explains that neither asphalt nor aggregate has a net charge and the components of each form non-uniform charge distributions and behave as if they have charges that attract the opposite. Curtis (1993) had evaluated the asphalt-aggregate interactions in terms of adsorption and desorption and showed that specific functional groups that had the most affinity for the aggregates also tended to have the highest sensitivity to water. He further adds that once the surface of aggregate has been coated with asphalt, their interactions become relatively not significant.

Plancher et al. 1977	Petersen et al. 1982	SHRP (Jamieson <i>et al.</i> 1995)				
Most strongly functional groups (decreasing order)						
Carboxylic acids	Carboxylic acids	Carboxylic acids				
Anhydrides	Anhydrides	Sulfoxides				
2-Quinolones	Phenols	Pyrridine types				
Sulfoxides	2-Quinolones	Phenolic				
Pyrridine types	Sulfoxides	Pyrrolic				
Ketones	Ketones	Ketones				
	Pyrridine types					
	Pyrrolic					
Susceptibility of adsorbed f	unctional groups for water displ	lacement (decreasing order)				
Carboxylic acids	Anhydrides	Sulfoxides				
Anhydrides	2-Quinolone types	Carboxylic acids				
Sulfoxides	Carboxylic acids	Pyrrolic				
Pyrridine types	Pyrridine types	Ketones				
2-Quinolones	Sulfoxides	Pyrridine types				
Ketones	Ketones	Phenolic				
	Phenolic					
	Pyrrolic					

Table 2 2. Affinit	u of A av	halt Funa	tional Cra	ing for Aga	nagata Sunf	Foran (Unfor	at al
Table 2.5. Allinit	y ul Asl	mait runc	uonai Gro	ups for Agg	i egale Sull	aces (merei	ei ui.

2005)

2.4 Surface Energy Theory

According to Surface Energy Theory, adhesive strength can be quantified in terms of adhesive bond energy with the bond being dependent on the surface free energy of the two materials considered (Bhasin *et al.*, 2007). The surface energy can be calculated using the equation:

 $\gamma = \gamma^{LW} + \gamma^{+-} = \gamma^{LW} + 2\sqrt{\gamma^+\gamma^-}$ $\gamma = \text{total surface free energy of the material}$ $\gamma^{LW} - LW$ component $\gamma^{+-} = \text{acid-base component}$ $\gamma^- = \text{Lewis acid component and}$ $\gamma^- = \text{Lewis base component}$

According to this theory, when a drop of liquid is placed on a horizontal surface, either it can spread on the solid surface, or it can take the shape of a drop with a finite contact angle between solid and liquid phases. This contact angle is commonly used to measure the surface energy of solids based on the relationship between contact angles, the surface energy of solids, wetting of solids and thermodynamic considerations. The properties of these solid-liquid, liquid-vapor, and solid-vapor interfaces can generally be described as a three-phase boundary.

Fig 2.3 shows the two-phase boundary of a liquid on a solid surface in vapor (Readet *et al.*, 2003). In this figure, θ is the angle between solid-liquid interface and the tangent of the liquid-vapor interface.



Figure 2.3: Three-Phase Boundary of a Liquid on A Solid Surface in Vapor (Read *et al.*, 2003)

In this figure, the contact angle is greater than 90°, indicating that water does not spread easily over the surface and hence it is a hydrophobic surface. It should be noted that many different

theories have been developed to explain adhesion of asphalt to aggregates but none of the theories have provided a completely satisfactory explanation of the true mechanism, which may be a combination of theories (Arno *et al.*, 2005).

2.5 Mixture Properties

One of the major factors responsible for the moisture transport in asphalt mixtures is the void structure. It is generally assumed that air voids are not interconnected when the asphalt mixture has 4 to 5% air voids (Choubane *et al.* 2000). Generally, many road construction agencies compact asphalt mixtures to at least 8% in-place air voids during the construction, assuming that the mixture will densify normally under traffic to its final percentage of about 4% air voids over the years due to secondary compaction.

Terrel *et al.* 1993 proposed a concept of pessimum void content in an asphalt mixture that relates to stripping potential. Their research shows that at low percentages of air voids (< 4% to 5%), the voids are not connected and the potential for water intrusion and stripping are low. Also, at higher percentages of air voids (>15% to 20%), the voids are interconnected such that the asphalt mixture is free draining. The percentages of air voids between 5% and 15% is called the pessimum range, where some of the air voids are interconnected, and water may get entrapped in the mixture, thereby increasing the striping potential. Figure 2.4 shows the relation between air voids and retained mix strength.



Figure 2.4: Air Voids vs Retained Maximum Strength (Terrel et al., 1993)

Unfortunately, most asphalt mixtures are constructed near the pessimum range, causing an increase in the stripping potential during the early pavement life. In addition, it is not always guaranteed that after a few years of construction the asphalt mixture would densify to the impermeable range (4% to 5%). Terrel *et al.* 1993 suggested the adoption of Stone Matrix Asphalt (SMA) and Open Graded Friction Course (OGFC). The SMA mixtures are often densely packed and are impervious after proper compaction. On the other hand, OGFC mixtures are more likely to be outside pessimum air void range allowing adequate drainage. Mohammed (2010) found that the common compaction techniques in asphalt mixtures are prone to generate cracks called checks. These checks are 1 to 4 in. in length and 1 to 3 in. apart. Checks are normally not visible and are generated during the first or second pass of conventional steel-wheel rollers. They had mentioned that these checks promote water transport in isolated areas within the pavement. In addition, they had proposed a new compaction technique that reduces the formation of such cracks.

2.6 Modes of Moisture Transport in Asphalt Mixtures

Moisture can transport in asphalt mixtures by three main modes, the first of which is permeability. Permeability is an important characteristic of pavement materials. It can be defined as the ability of a material to transmit water or fluid through it. Previous research done by Bhattacharjee and Mallick (2002) showed that the permeability is a better indicator of durability than porosity because the permeability indicates the pores that are exposed to water, whereas porosity indicates all the pores, which may or may not be filled with water. There are many existing empirical equations to determine the permeability of asphalt mixtures that cannot be correlated to field performances (Vardanega, 2004).

Capillary rise, the second mode, is more prominent in the region of a saturated gradient combined with ambient conditions at the interface (i.e. low humidity and high temperature). It is generally defined as the rise in the liquid above the level of zero pressure due to the gross upward force produced by the attraction of the water molecules to a solid surface. In asphalt pavements, capillary rise phenomenon allows subsurface water to be transported into the pavement through the capillaries formed by the interconnected voids. The extent to which the water would rise above the saturation surface, as well as the rate of rise, depends on: (1) the geometrical characteristics of

the capillaries, (2) the surface tension of water, (3) the density of the water (4) the contact angle between the liquid and solid. Masad et al. (2007) reported that the HMA specimens with high voids showed a rapid increase in the flow of water in the laboratory testing when the contact angle between the water and the particles was lowest. The results were only presented for voids that were equal to or larger than the image resolution of the X-ray computed Tomography equipment. However, it was expected that a greater height of rising took place in smaller voids that were not detected in the images and the aggregate—binder interfaces.

Vapor diffusion through asphalt binder (the third mode) is a slow process because of the molecular structure of the material. The amount of water vapor and the rate at which it accumulates in an asphalt mixture depends on: (1) relative humidity (2) diffusion coefficients (3) storage rate and (4) storage capacity. Vapor diffusion is a cumulative process depending upon the condition of the road (Arambula *et al.*, 2009).

2.7 Test Methods

In general, existing test methods can be classified into two categories: (1) tests on loose mixtures and (2) tests on compacted mix samples. In the past, observation-based rating systems were used to evaluate the moisture susceptibility of loose asphalt mixtures. Some of the tests include the boiling water test (ASTM D3625) and the static immersion test (AASHTO T182). These tests involve visual observation of stripping potential. Such visual based rating systems are currently not in use and have been criticized for subjective visual evaluation and for not accessing moisture potential in compacted asphalt mixtures. Such tests usually focus on moisture-induced adhesive failures without considering cohesive failure within asphalt mastic.

On the other hand, tests on compacted mix samples usually involve dividing the samples into control and moisture-conditioned groups. Both samples are subjected to mechanical testing such as Indirect Tensile Strength (ITS), resilient modulus, and dynamic modulus, as well as wheel tracking tests. Currently, the most widely used mix design test procedure for the evaluation of moisture damage in the U.S. is the AASHTO T283 (AASHTO, 2001) test. The AASHTO T283 procedure requires the samples to be moisture saturated to a level of 70% to 80%. The saturated

samples are then subjected to air conditioning at -18° C (-4° F) for 16 hours followed by a thawing period at 60°C (140°F) for 24 hours. The specimens are then placed in a water bath at 25°C (77°F) and tested in indirect tensile mode at 25°C (77°F). However, a number of researchers have reported the inability of this test procedure to predict the moisture damage potential of HMA mixes accurately. The test does not replicate the high saturation and pore water pressures that are expected in the field and researchers have identified significant variability in test results and the need for alternative tests (Kringos *et al.*, 2009). Other test methods under saturated conditions include the Hamburg wheel tracking test (Aschenbrener, 1995) and the saturation ageing tensile stiffness test (Airey *et al.*, 2005). Typically, moisture evaluation 'test' procedure refers to a combined system of a conditioning step that simulates field conditions and a characterization test that evaluates the effect of the conditioning. As mentioned before, AASHTO T283 test consists of subjecting a sample to saturation and then to freeze/thaw (generally one cycle).

The environmental conditioning system approach (Al-Swailmi *et al.*, 1992) combines these in the sense that tests are conducted within an environmental system that is supposed to simulate field conditions. One of the main criticisms of these conditioning systems is that they cannot simulate the damage caused by the generation of pore water pressure, which occurs due to the effect of tire pressure on fully saturated HMA mixes (Kandhal and Rickards, 2001; Novak *et al.*, 2002).

The semi-circular bend (SCB) test was explored by Molenaar (2000) and extensively evaluated through the TPF-5(132) study (Marasteanu *et al.* 2012). SCB test is an important indicator to characterize the cracking resistance of asphalt mixture before and after moisture damage. The post-peak crack energy can be used to characterize the ductility of asphalt mixture. The SCB test was performed according to the AASHTO standard (Al-Qadi *et al.*, 2015) at 25°C. For this test, a semicircular disc of HMA, 150 mm in diameter and 25 mm thick, was tested in a three-point bending mode.

To simulate the generation of pore pressure in HMA in the lab, the MiST was developed (Mallick *et al.*, 2003; Buchanan *et al.*, 2004;) based on work conducted by Jimenez (1974). The MiST is capable of representing the action of repeated traffic on a saturated asphalt pavement. It

uses a hydraulic system to create alternating pressure and vacuum cycles inside the test chamber and hence forces water in and out of the pores of the HMA specimens. It is capable of accommodating any standard HMA sample. Studies have confirmed that high pore water pressures are developed in the upper layers of HMA in the field (Mallick *et al.*, 2003), and the MiST is capable of simulating such conditions. The MIST test was designed to simulate stripping damage in fewer than three hours of treatment. The temperature levels are controlled during the test. The pore pressure is generated in the mixture, mimicking temperature, traffic and humidity field conditions. The test is effective for the identification of poor performance HMA (Ahmad *et al.*, 2017; Birgisson *et al.*, 2007; Mallick *et al.*, 2005; Pinkham *et al.*,2013). The MiST is a relatively inexpensive piece of equipment, and the conditioning of the samples can be completed within a reasonable time period (< 24hours).

Image analysis is being increasingly used in the transportation field, due to the ease of obtaining the data and the development of image analysis software (Radopoulou, 2013). This technique continues to be used at a significant level in other areas of pavement engineering, for example in automated identification and analysis of pavement distresses in pavement management systems. The technique is specifically relevant for the topic of moisture damage since it has been reported that if a sample is subjected to moisture conditioning, it is likely that there will be a difference in the color of the sample before and after conditioning, specifically for a moisture susceptible mix. This is because the damage will cause a removal of asphalt from the surface of the aggregates.

The Ultrasonic Pulse Velocity (UPV) test is recommended for the following reasons (University of Texas, 2006):

1. UPV test is nondestructive and can be conducted in a very short period of time;

The UPV test has been extensively evaluated, found to be sensitive to key properties of HMA and has been utilized to determine design moduli that could be used in M-E analysis;
 Seismic modulus data have been previously used successfully to detect moisture susceptible mixes (Birgisson *et al.*, 2003; Nazarian *et al.*, 2005; Maser *et al.*, 2006); and
 Well-established guidelines have been developed for regular use of this test by the Texas DOT

Fourier transform infrared–attenuated total reflectance (FTIR-ATR) spectroscopy has been widely used by several researchers to characterize the materials used in pavement construction. The equipment is found to be robust and is an accurate noninvasive in situ method to provide data in the shortest possible time to identify various functional groups present in the material. FITR technique has been widely used to examine diffusion in polymers and is found to have wide practical applications.

Seifollah Nasrazadani et. al., (2009) presented the practical applications of Fourier Transform Infrared Spectroscopy (FTIR) in characterizing pavement materials. FTIR technique has been found to be capable of quantifying alkali content in concrete cement. FTIR is found to be useful in the analysis of polymer content in asphalt binders but, it was not found to be a suitable technique to detect and quantify anti-stripping agents in asphalt materials. One of the reasons for this may be because of the low concentration of the antistripping agents and possibly band overlap in the spectra of organic compounds. Howard et.al., (2013) conducted experiments on the aging characteristics of binders using FTIR, while studying the hauling time effects on unmodified, foamed, and additive modified binders used in hot mix asphalt. FTIR can throw light on the specific functional groups of compounds in asphalt. Karmakar et. al., (2018) studied the moisture damage analysis of bituminous mix by Durability index Utilizing waste plastic cup (PC). They conducted FTIR tests on PC-modified bitumen to observe the reduction of carbonyl acid in the bitumen in order to determine the enhanced structural integrity of the bituminous mix. The findings enabled the researchers to quantify the optimal dosage of PC to be added for the improved performance.

Vasconcelos et.al., (2010) measured the water diffusion in asphalt binders using Fourier Transform Infrared – attenuated reflectance spectroscopy (FTIR-ATR) to monitor the diffusion of water into thin films of asphalt binder. Morian et. Al, (2013) studied the effect of binder aging in hot mix asphalt using FTIR. FTIR was used to study the binder kinetics with respect to the carbonyl area measured from the Fourier transform infrared spectroscopy spectra as a function of aging time and temperature and found that effective binder content to be the indicator of the aging characteristics of binders. Lamontagne et. al., (2001) studied the aging characteristics of road bitumen using FTIR.

2.8 Application of Fibers in Hot Mix Asphalt

Researchers are looking for better materials to improve the performance of hot mix asphalt. The addition of fibers in hot mix asphalt is found to improve the fatigue as well as the rutting performance. The addition of fiber also reduces the drain down in case of gap graded asphalt mixes like Stone Matrix Asphalt. It also reduces the temperature susceptibility of the mastic. Fiber incorporation has proved to be a practical and dependable technique for improving the performance of hot mix asphalt. The addition of fiber ensures that the mixes is rich in bitumen. Rich binder will enable better and improved resistance to moisture, aging, fatigue and cracking. (Serfas et al., (1996)

Klinsky et. al., (2018) studied the performance characteristics of fibers modified hot mix asphalt. The addition of fibers has resulted in higher tensile strength; higher resilient modulus; lower permanent strain accumulation and better fatigue resistance. The addition of blended fibers of polypropylene and aramid to hot mix asphalt is found to enhance its mechanical properties and extended the service life. Slebi-Acevedo et. al., (2018) presented a review of the mechanical performance of fibers in hot mix asphalt. The tensile strength, modulus of elasticity, specific gravity and Mohs hardness are the relevant properties to be considered in performance evaluation of asphalt mixes with different fibers. The use of waste fibers and natural fibers like coconut fibers are found to improve the mechanical properties of hot mix asphalt and also improve the performance. Kockal and Kofteci (2016) studied the aggressive environmental effect on polypropylene fiber reinforced hot mix asphalt. The bulk density of the sample and the Marshall stability values improved with the addition of the fibers. Na2SO4 showed most damaging effect on samples under aggressive environment.

Kumar et.al (2009) investigated the laboratory performance of fiber modified asphalt mixes. The indirect tensile strength and Marshall test properties were found to improve with the addition of fibers. As polypropylene fibers get entangled, strength reinforcement of asphalt-binder mastic was found to be necessary. Mufta et. al (2017) carried out a research study to quantify the benefits of using fiber reinforced hot mix asphalt to mitigate the distresses. The researchers have reported that the optimal fiber content recommended by the manufacturer need not be optimal and detailed investigations are needed before field implementation. HMA mixes with higher dosage of

fibers had higher fracture energy than control mixes. Rutting and fatigue resistance of the mixes with fibers are found to be higher than control mixes. Alfalah (2020) assessed the impact of fiber type on the performance of fiber reinforced hot mix asphalt. The researchers have found that the fibers affected the volumetric properties, mix durability, and rutting resistance of HMA mixes. The use of fibers was found to improve the rutting and durability performance of asphalt mixtures in the laboratory. However, research studies on improved moisture resistance of hot mix asphalt with fibers is limited and there is a need to investigate the resistance to moisture of HMA with fibers.

2.9 Machine Learning:

Artificial Intelligence (AI) has been defined as the "the science and engineering of making intelligent machines" (McCarthy, 2007). Machine Learning (ML) is a specific way in which AI is implemented in the real world. The aim of ML is to develop algorithms that can receive input data and apply statistical analysis to predict the output within an acceptable range. ML is based on the association of learning with hidden patterns and the theory that computers are able to recognize patterns in such a way as to learn to adjust their responses without human intervention. ML techniques are applicable in classification and regression-type problems with multiple dimensions. They are suitable for nonlinear systems because they can capture non-linear relationships among multivariate datasets - something that is very relevant to the evaluation of moisture susceptibility of HMA. Machine learning techniques can be broadly classified into two categories based on technique that are used to train the model: supervised and unsupervised. Supervised classification models are used to classify the input data into categories if the response variable is discrete. Supervised regression models are applicable in cases where the response variable is continuous. Unsupervised learning models find patterns in the data and develop inferences without any labelled output. The method of clustering is commonly used to find hidden patterns or groupings in the data. Studies have shown that ML models for binary classification outperform regression models that are used for prediction. This is because of the relatively easier process of training when the response variables are categorical and can fall into either one of the two categories.

The k-nearest neighbor method and the Naïve Bayes method are two popular supervised machine-learning techniques that produce nonparametric models. Naïve Bayes Classifiers is a classification based on the Naïve Bayes theorem, while assuming the predictors are independent. In Naïve Bayes classification, it is assumed that the availability of certain feature in a certain class is not related to the presence of any other feature. The algorithm works by counting and conditional probability (Murphy, 2006). The model is a probability table, which is updated depending on training data. Probabilities from the probability table based on the feature values are considered in prediction.

The major advantages of the Naïve Bayes method are it is a fast, easy way to predict a class of dataset for testing. It can also be applied very well in the prediction of a multi class problem. When the assumption of the independence is true, the Naïve Bayes classifier works very well when compared to other models (Richards, 2018). The model requires less training data. The model performs better when the input variables are categorical than the numerical variables. In case of numerical variables, an assumption that the data set follows a normal distribution is to be made.

The limitations of Naïve Bayes algorithm are that it makes an assumption on the shape of the data distribution which may not be true always. The other issues are data scarcity as needed for the model development and validation. The Naïve Bayes Algorithm can be advantageously applied to solve problems in real time prediction, multi-class prediction text classification/sentiment analysis/spam filtering and recommendation systems (Theobald, 2017).

K-Nearest Neighbors (K-NN) is one of the simplest clustering algorithms used to classify new data points in relation to known and nearby data points. It is a non-parametric algorithm, in the sense that there are no underlying assumptions made regarding the distribution of the data (Harrison, 2018). This algorithm can be applied to either regression or to classification problems but is most widely applied in classification problems. When K-NN is used for classification problems, the output may be calculated as the class, which has the highest frequency from the kmost similar instances. In order to identify the K instances in a training dataset, which are most similar to the new input, the distance measurement technique is used. For inputs, which are real values, the most common distance measure is the Euclidean distance. Other distances that can be used include Hamming distance, Manhattan distance, and Minkowski distance. The kind of distance measure can be determined from the data set (Richards, 2018). The goal in K-NN is to determine the K similar data points from a training set, then use them for interpolating the output value, which can be an average for a numeric output, and a majority value for the categorical output. The K parameter is tunable, and should be cross-validated so that the best value can be picked.

K-NN can be used for pattern recognition and statistical estimation. One of the downsides of K-NN is that it can be challenging to apply for high dimensional data (3D and 4D) with multiple features (Theobald, 2017). Measuring multiple data points in a three or four-dimensional space is a burden on taxing resources and complicated to perform accurate classification. Reducing the total number of dimensions, through a descending dimension algorithm such as Principle Component Analysis (PCA) or merging variables, is a common strategy to simplify and prepare a dataset for K-NN analysis (Loria et al., 2008, Bianchini, 2014, Lopes 2016).

The advantages of K-NN algorithm is that it is an excellent algorithm for creating prediction models where the data is very noisy and when the available dataset is large. The limitation of K-NN algorithm is that finding an optimal K would be time consuming. Moreover, the cost of computation is very high, as distance of every query instance to all the training samples is to be found out, although it can be reduced via indexing. It is also not clear as to which distance measure is to be used as well as which are the attributes which should be used in the analysis to produce the results.

2.10 Machine Learning Algorithm (Model) Evaluation Process

In order to evaluate the machine learning algorithm/model and to determine whether it will predict the target results correctly when the present set of data are used as well as when future data are used, the performance of the models is to be evaluated. The process adopted for the model evaluation and validation are as follows.

Overfitting normally occurs when a model has memorized the patterns occurring in training and evaluation data sources, but it has failed to generalize the patterns in data. An overfitted model will perform satisfactorily during the evaluation, but will not be able to make accurate predictions on any unseen data. To avoid an overfitted model, some data are to be preserved for use in validating the performance of the machine learning model. About 60% of the data may be used for training, and 20% of the data can be used for evaluation, and the remaining 20% of the data can be used for validation. Once the model parameters are chosen for the evaluation the second evaluation can be run using the validation data to ensure that the machine-learning model performs satisfactorily. If the test meets the expectations using the validated data, it is not over fitted. If a third set of data are used for the validation, the right machine learning model parameters are to be chosen to prevent overfitting. However, if the data from training process are considered for validation and evaluation, it means that only lesser data will be available for training purpose. This is a problem with using small data sets. It is always good to use a large data set in machine learning. Laboratory-based experiments generally result limited data set. However, if the laboratory-based models are used for field performance prediction, a large data set can be collected and can be used for the validation of the models.

Cross-validation refers to the process of evaluating machine learning models in which several ML models are trained on the subsets of the available input data, then evaluating them on a complementary subset of the data. Cross-validation can be used to detect overfitting. The k-fold cross validation is a common method for cross-validation. In this method, the input data is split into k subsets of data, which are also known as folds. A machine-learning model is then trained on all except one of the data sets; that is, the training is done with k-1 datasets. The evaluation of the model is then done using the subset that was not used for training. The training process is then repeated several times, using a different dataset for evaluation each time. This means the process is repeated k times.

Confusion Matrix also known as error matrix, is one of the ways to evaluate the prediction power of a classification model. Figure 2.5 shows a typical confusion matrix of a binary classification model.

		Positive	Negative	
	Positive	True Positive (TP)	False Negative (FN)	Sensitivity
Actual				TP / (TP+FN)
Class	Negative	False Positive	True Negative (TN)	Specificity
		(FP)		TN / (TN+FP)
		Precision	Negative Predictivity	Accuracy
		TP / (TP+FP)	TN / (TN+FN)	TP + TN /
				(TP+TN+FP+FN)

Predicted Class

Figure 2.5: Confusion Matrix with Classification Metrics

In the above figure, True Positive (TP) would indicate the total number of correct predictions i.e. the total number of positive class correctly identified as positive by the model. False Negative (FP) would indicate the total number of incorrect predictions made by the model i.e. total number of positive class incorrectly identified as negative (Type II error). False Positive (FP) would also indicate the total number of incorrect predictions made by the model i.e. total number of negative class incorrectly identified as positive (Type I error). True Negative (TN) would indicate the total number of negative predictions i.e. the total number of negative class correctly identified as negative. The sensitivity also known as true positive rate is a measure of positive class identified as negative by the classifier. In general, there should be high specificity. The precision is the ratio of total number of correctly classified class and the total number of predicted positive class. Accuracy is the proportion of the total number of predictions that the model is capable of identifying correctly.

Principal Component Analysis (PCA) is a technique that is used to find the underlying variables that can best differentiate the data points within a data set. The principal components are the dimensions along which the data points are found to be most spread out. Each principal component is a weighted combination either of different variables, where the weights can be positive, negative, or close to zero. PCA usually works well when the most informative dimensions

have the largest spread and is orthogonal to each other. PCA is a multivariate statistical method that can be used for the reduction of high-dimension data to low-dimension and easily comprehensible data (that can be plotted on two or three axes) that retain factors that can explain most of the variance. PCA provides the covariance matrix (how predictors are associated with each other), eigenvectors (how the data are dispersed and the direction of dispersion), and eigenvalues (the relative significance of these directions) (Shlens, 2014)

Limitations of PCA are that the interpretation of the generated components is a challenge and it is very difficult to explain as why the variables are combined in a particular format. The PCA assumes that the dimensions with the largest spread of data points are useful. Moreover, PCA generated orthogonal principal components which would mean that the components are positioned at 90° to each other, which may not be true.

2.11 Conclusions from Literature Review

The following findings are reported in the available literature:

- 1. Moisture induced damage to the pavement system is due to several factors like binder type, composition, type of the asphalt mix, construction quality, duration of moisture on the pavement, intensity of rainfall, traffic level, pavement profile etc.
- 2. Several researchers have developed test methods that can be used to predict the moisture induced materials and mixes. The prediction depends on the adopted test method.
- 3. There is a need to identify suitable accurate method of predicting the moisture induced damage that may be adopted by State Departments of Transportation (DOTs) considering few variables that can be quickly measured in the field.
- 4. Machine learning can be an effective and useful tool for the identification poor and good performing mixes in terms of moisture induced damages.
3.0 RESEARCH METHODOLOGY AND LABORATORY INVESTIGATIONS

3.1 MATERIALS AND METHODOLOGY

Thirty plant-produced asphalt mixtures were procured from two US state DOTs for use in this study, of which six were good performing mixtures and 24 were poor performing mixes. The details of the asphalt mixes considered in the present investigation are shown in in Table 3.1.

The performance of the mixes was characterized as "good" or "poor" by the DOTs based on their experience and field observations regarding moisture induced damage. The poor mixes had shown premature failures in the field that resulted from moisture-induced damage. The mixes were heated and compacted to produce samples at the desired voids – 20 mixes from one DOT were targeted at $5 \pm 1\%$ air voids and ten mixes were targeted at $7 \pm 1\%$ air voids, according to the respective DOT specifications.

3.2 Moisture Conditioning

Moisture conditioning was carried out with the MiST. In the MiST conditioning system, a sample is placed inside a chamber that has a built-in bladder. The chamber is filled with water and maintained at the test temperature. The water is forced to flow in and out of the sample by pressurizing and depressurizing the bladder over a desired number of cycles. At the end of the desired number of cycles of pressurization, the sample can be removed and tested, and the test results can be compared with those from unconditioned samples to evaluate the moisture damage potential of the mix. The intensity of moisture-induced damage in MiST is reported to be dependent on the number of cycles and the duration of moisture saturation Tarefder et al. (2014). For this study, MiST test was executed at 3,500 cycles at 275 kPa and 60°C for the PG 64-28 and PG 70-28 mixes and 50°C for the PG 58-28 mix (ASTM 7870). A 20-hour dwelling period, in which the samples were kept immersed in water at the test temperature, was used prior to cycling in the MiST. The dwelling period was used to simulate the soaking period of water immediately after construction and before the passage of traffic. The use of the dwelling period was based on the work of LaCroix et al. (2016) and Varveri et al. (2014), who observed that the dwelling period allowed the diffusion of water into the asphalt-aggregate interface. The pre-MiST-conditioned and post-MiST-conditioned samples were subjected to different tests.

Mix No. /perfor- mance	Nominal Max Agg. Size (NMAS) (mm)	Target construction voids (%)	Binder type	Percentage of binder	Percentage of Reclaimed Asphalt Pavement (RAP)	Additive
1/poor	12.5	5±1	PG 64-28	5.4	20	No
2/poor	12.5	5±1	PG 64-28	5.4	20	No
3/poor	9.5	5±1	PG 64-28	4.5	10	No
4/poor	9.5	5±1	PG 64-28	6.5	20	No
5/Good	9.5	5±1	PG 64-28	4.6	20	Lime
6/Good	12.5	5±1	PG 64-28	5.6	20	No
7/poor	9.5	5±1	PG 64-28	5.7	0	No
8/poor	12.5	5±1	PG 64-28	4.8	20	No
9/Good	9.5	5±1	PG 64-28	5.4	15	No
10/poor	12.5	5±1	PG 64-28	5.9	20	Lime
11/poor	12.5	5±1	PG 58-28	4.4	20	No
12/poor	12.5	5±1	PG 64-28	4.5	20	No
13/poor	12.5	5±1	PG 64-28	5.4	0	No
14/poor	12.5	5±1	PG 64-28	5.4	20	No
15/poor	12.5	5±1	PG 64-28	4.5	10	Lime
16/Good	9.5	7±1	PG 70-28	5.6	20	No
17/Good	9.5	7±1	PG 58-28	4.6	20	No
18/poor	9.5	7±1	PG 64-28	6.5	20	Commerc -ial
19/poor	12.5	7±1	PG 64-28	5.0	20	No
20/poor	12.5	7±1	PG 64-28	4.1	20	No
21/Good	12.5	7±1	PG 64-28	5.0	20	No
22/poor	12.5	7±1	PG 64-28	4.7	20	No
23/poor	12.5	7±1	PG 64-28	5.4	20	No
24/poor	12.5	7±1	PG 64-28	4.9	20	Novagrip
25/Good	12.5	7±1	PG 64-28	4.6	20	
26/poor	12.5	5±1	PG 64-28	5.0	20	PaveGrip
27/poor	12.5	5±1	PG 64-28	5.4	20	Zycosoil
28/poor	9.5	5±1	PG 64-28	5.6	20	No
29/poor	12.5	5±1	PG 64-28	4.6	20	No
30/poor	12.5	5±1	PG 64-28	5.6	20	No
31/poor	12.5	5±1	PG 64-28	5.2	20	No
32/poor	12.5	5±1	PG 64-28	0.9	20	No
33/poor	9.5	5±1	PG 64-28	0.8	10	Zycosoil
34/poor	12.5	5±1	PG 64-28	1.0	0	No
35/poor	12.5	5±1	PG 64-28	0.9	0	No
36/Good	12.5	5±1	PG 64-28	0.9	20	No
37/Good	12.5	5±1	PG 64-28	0.9	20	Zycosoil
38/poor	12.5	5±1	PG 64-28	0.8	20	Zycosoil

Table 3.1: Mix Information

3.3 Tests for Characterization of Moisture Conditioned Samples

In order to evaluate the moisture susceptibility of an asphalt mixture, the mechanical capacity and integrity of the material needs to be evaluated pre- and post-moisture conditioning. The test procedures can be broadly classified as destructive versus non-destructive.

3.3.1 Indirect Tensile Strength (ITS)

The indirect tensile test (ASTM D6931) was used to determine the strength of the asphalt mixes. The test was conducted by loading a cylindrical across its vertical diametric plane at a specified rate of deformation (50 mm (2 in.) per minute) and at a test temperature of 25°C (77°F). The peak load at failure was recorded and was used to calculate the ITS strength of the specimen. Tensile Strength Ratio (TSR) of the conditioned and unconditioned specimens are typically used as a measure of the moisture susceptibility and durability of asphalt mixtures. A higher ratio indicates a more moisture resistant mix.

3.3.2 Ultrasonic Pulse Velocity (UPV)

The UPV test is a method of non-destructive evaluation of an HMA specimen based on wave propagation techniques. Conventionally, UPV test has been extensively used as a measure to evaluate the quality of portland cement concrete mixes. The UPV test has a good potential to detect moisture susceptible HMA mixes because the measured seismic modulus (E_s) is sensitive to both of the deterioration effects of moisture, i.e. due to the effect of pore pressure because of presence of water in the pores after moisture conditioning, and due to the loss of integrity of the mix, as a result of loss in its cohesion or adhesion (Birgisson *et al.*, 2003, Nazarian, 2005). The UPV test is based on the idea that the speed of compressional waves (P waves) passing through a medium depends on the medium's elastic properties and density. The time the wave travels through the specimen is measured as t_v , which is then used to calculate the samples bulk-constrained modulus and also bulk density (Γ). The seismic modulus (E_s) and design modulus of the sample can be derived from the calculated bulk-constrained modulus. The loss in E_s can be used utilized to detect moisture susceptibility, and the E_s values can also be transformed to design modulus (E_d)

to estimate the loss in structural capacity or service life as a result of moisture damage, with the help of available data/relationships as mentioned below.

The specimen dimensions were determined for each sample, and the compression wave (P-wave) Velocity, V_p was then calculated from the equation:

$$v_P = \frac{H}{t_v} \tag{3.1}$$

Where H is the height of the specimen and t_v is the corresponding travel time (mean of four transmission time readings per sample). The constraint modulus, M_V , was then calculated using:

$$M_{\nu} = \rho \times V_P^2 \tag{3.2}$$

Where ρ is the bulk density of the specimen in g/cc. The constraint modulus was then converted to Young's modulus, E_V, through a theoretically corrected relationship in the form of

$$E_{\nu} = M_{V} \times \frac{(1+\mu) \times (1-2\mu)}{(1-\mu)}$$
(3.3)

Where E_v is Young's modulus and μ is Poisson's ratio. The Poisson's ratio for all mixes was assumed to be 0.35. The E_v measured in this way is known as the seismic modulus, or E_s , which can be used to estimate the design modulus, E_d (Aouad *et al.*, 1993; Li, Y., & Nazarian, 2006).

$$E_d = \frac{E_s}{3.2 \times Temperature \ Correction \ Factor} \tag{3.4}$$

3.3.3 Semi-Circular Bending (SCB):

Fracture energy concepts have been widely used to link pavement cracking performance with an asphalt mix's mechanical properties. Fracture tests can be conducted in either single mode or mixed-mode conditions (tension, shear, or both). At present most of the current test procedures focus on the tensile model (Mode-I) where peak load is used to determine the fracture toughness of the material and the area under the load-displacement curve provides the fracture energy. This test was carried out according to the University of Illinois Method (AASHTO TP-124, Al-Qadi *et al.* 2005). Before conducting the test, a notch was cut using a tile saw blade at the center for all samples for a depth of 15 mm from the flat face of the specimen to initiate the crack propagation. The test was performed by imposing a small contact load of 0.1 ± 0.01 kN and then by loading at a rate of 50 mm/min. The test was stopped once the load dropped below 0.1 kN. The total work of fracture W_f was calculated by dividing the load-displacement data into two parts, that is, the curve prior to peak load and the curve after the peak load, and then numerically integrating the total area under the two parts. The total work of fracture is calculated using the integral equation

$$W_f = \int_0^{u_0} P_1(u) du + \int_{u_0}^{u_{final}} P_2(u) du$$
(3.5)

Where U_{final} is the displacement at 0.1 kN cut-off load and U_0 is the displacement at peak load (kN). The fracture energy G_f was then found by dividing the work of fracture by the ligament area of the SCB specimen prior to testing.

$$G_f = \frac{W_f}{Area_{lig}} \times 10^6 \tag{3.6}$$

Where:

 $G_f = \text{fracture energy (Joules/m}^2)$ $W_f = \text{work of fracture (Joules)}$ P = load (kN)Area $_{\text{lig}} = \text{ligament length x×t}$, where t is the specimen thickness (mm)

The Flexibility Index (FI) is calculated from the parameters obtained from the load displacement curve.

$$FI = \frac{G_f}{|m|} \times A \tag{3.7}$$

Where

FI= Flexibility Index

|m|= absolute value of post-peak load slope m (kN/mm) A= 0.01



Figure 3.1 and 3.2 show the SCB testing and schematics of the test parameters calculated.

Figure 3.1: Semi-Circular Bending (SCB) Sample Test Setup

Fig 3.2 shows the schematic of the various parameters showing the displacement in the specimen with the load level.



Figure 3.2: Schematic of Fracture Energy and Flexibility Index Parameters

3.4 Effluent Analysis

From the past moisture damage studies (Arepalli *et al.* 2017, Mallick *et al.* 2019) using MiST it has been reported that there is erosion of fine materials with increasing pressure cycles and also that there are traces of leached asphalt in water effluent after MiST conditioning. Field studies conducted by Maine DOT have reported loss of materials (both aggregates and asphalt) associated with moisture damage in HMA pavements (personal communication with Derek Nenerplante, Maine DOT, undated). Therefore, an effort has been made in this study to evaluate the type and the amount of both aggregate and asphalt binder lost from each sample during moisture damage conditioning using MiST equipment. For obtaining Dissolved Organic Carbon (DOC) DOC and Loss of materials (LOM) the ITS samples were individually tested using the Moisture Induced Stress Tester (MiST) and the effluent from the MIST were collected.

The effluent consisted of water, aggregates (broken, coated, and uncoated), and asphalt binder. The LOM was obtained by passing the effluent through 75 μ m sieves followed by oven drying the sieves at 45°C (113°F) for four hours. The weight of the material retained on this sieve was then measured in milligrams. The remaining fraction of the effluent was then subjected to filtration with 45- μ m sieve and the DOC analysis was conducted to determine the amount of dissolved hydrocarbon present in the effluent. For DOC analysis a Shimadzu TOC-5000A analyzer was used, which works on the principle of oxidizing the carbon in the effluent to CO₂ and analyzing with a non-dispersive infrared (NDIR) gas detector to quantify the total carbon present. Similar research was performed by Zoftka *et al.* (2014), who found through spectroscopic analysis that results from MIST effluent contained peaks corresponding to asphalt as well as aggregates.

3.5 Image Analysis

Image analysis is a process of extracting meaningful information from a digital image using computer algorithms. The image analysis was performed in this study to quantify the impact of Moisture Induced Stress Tester (MIST) on the laboratory prepared HMA sample. For this, a twodimensional image processing software named "Pixels" was used. The software enables determination of the number of black pixels before and after MIST testing. Images of the asphalt sample before and after MIST were taken using a high-resolution (16 megapixel) digital singlelens reflex (DSLR) camera under standard lighting conditions and camera settings. All the digital images taken were in RGB (Red-Green-Blue), which means that each individual pixel of that image had their own intensity of each color. Since asphalt is black in color and has a low RGB value, a threshold value of 50 was selected as inputs for the red, blue, and green in this software. An external image-editing software (Photoshop) was used to manually crop the pre-and post-MIST images to remove any background noise. The cropped pre-and post-MIST images of the same sample were uploaded to the software. The software then determined the total number of black pixels in each image with RGB values less than 50 and gave the total number of counted black pixels as output. Figure 3.3 shows the picture of the Pixels software that was developed at WPI specifically for this purpose. The output (i.e. the total number of black pixels in each image) is displayed at the bottom of the software. The percentage change in the black pixels was then calculated for each sample by finding the difference between the pre-MIST and the post-MIST black pixels.



Figure 3.3: Pixels-Image Analysis Software

3.6 Fourier Transform-Infrared Spectroscopy (FTIR)

Asphalt essentially consists of a very large number of different hydrocarbons, heteroatoms (nitrogen, oxygen and sulfur) and/or metal traces (e.g. iron, vanadium and nickel). The heteroatoms form functional groups such as phenolics, pyrrolics, pyridinics, 2-quinolones, sulfoxides, ketones, carboxylic acids and anhydrides. Such functional groups play a major role in the interaction of asphalt and aggregate surfaces, thus determining the resistance of mixtures to damage to moisture. The strength of the interfacial bond depends on the relative tendency of the functional groups to adsorb on aggregate surfaces and relative water desorption. In general, the most adsorbed polar compounds (such as carboxylic acids and anhydrides) have been reported to be most easily displaced by water (Bagampadde 2005). Phenolics, ketones, and nitrogen bases (especially pyridinics) were associated with the highest resistance to water displacement. Asphalt rheology appears to influence the moisture sensitivity of bituminous mixtures during mixing and compaction. Viscosity must be sufficiently low to allow for proper wettability of the asphalt aggregate. High viscosity during service provides better resistance to damage to moisture than conversely. A high concentration of polar viscosity building asphalt components may increase moisture resistance. Carbonyls are typically found on the spectrum at 1700cm⁻¹ and sulfoxides at 1030cm⁻¹in the FTIR spectrum. In this study, the carbonyl and sulfoxide groups are focused on, due to their ability to indicate stripping and aging in asphalt (Dony et al. 2016). In most asphalt binders, development of carbonyl and sulfoxide groups can be used to quantitatively assess the chemical interaction that has happened during the aging process. Determining carbonyl or sulfoxide indices (I_{CO} and I_{SO} respectively) by FTIR spectroscopy is used as a scientific tool in assessing the binder properties towards ageing of bituminous mixtures (Petersen et al. 2009, Hofko et al. 2018). Moisture damage in asphalt mixtures can cause stripping of asphalt materials, which in turn can increase the surface area to oxidation thereby increasing the I_{co} and I_{so} indices.

FTIR testing was carried out using a PerkinElmer Spectrum Two spectrometer with a universal Attenuated Total Reflectance (ATR) accessory. Figure 3.4 shows the FTIR spectrometer used for the FTIR testing. Before carrying out the experiments using the FTIR, a background scan was performed to remove unwanted peaks from the sample spectrum. In this study, 17 mixes were

selected and the asphalt binders for these mixes were extracted using solvent extraction method (ASTM D5404).



Figure 3.4: Fourier-Transform Infrared Spectrometer (FTIR)

Around 0.1g of the extracted asphalt was rolled into a small ball and placed on the FTIR crystal as shown in Figure 3.5.



Figure 3.5: Extracted Asphalt Binder on ATR Crystal

The ATR detector was then lowered to scan and the absorption spectrum was acquired. The absorption spectrum data collected was in the wavelength range of 2000 cm⁻¹ to 500cm⁻¹. The

experiment was repeated thrice using different samples to check the repeatability in the test results. The results of the FTIR spectra are shown in Appendix A.

4.0 TEST RESULT AND ANALYSIS

4.1 Statistical Analysis

The average and standard deviation values of the different test results are presented in Table 4.1. For further analysis, the pre- and post-conditioned data from each test were used to calculate a ratio. The ratios are indicated in percentages for the ITS and the Es, and as absolute values for the other test properties.

			PreMiST	PostMiS	PreMiST	PostMiS	PreMiST	PostMiST							
Mix	PreMiST	PostMiST	ITS	T ITS	FE ITS	T FE ITS	FE SCB	FE SCB	PreMiS	PostMiS	Effluent	DOC	LOM	PreMiST	PostMiST
No	E _s (MPa)	E _s (MPa)	(kPa)	(kPa)	(J/m ²)	(J/m ²)	(J/m ²)	(J/m ²)	T FI	T FI	FM	(mg)	(mg)	BP	BP
1	13112	13128	852	743	2087	2990	2587	4681	14.6	34.1	2.4	1.8	82.9	1063208	1041372
1	(1486)	(635)	(53)	(128)	(193)	(386)	(1232)	(1860)	(8.5)	(13)	(0.7)	(2.3)	(45.6)	(69009)	(82148)
2	13167	12893	1074	991	3534	3936	4851	7240	16.4	45.7	2.4	9	99.4	1051937	960522
2	(593)	(337)	(33)	(27)	(571)	(233)	(2106)	(2105)	(7.1)	(11.5)	(0.3)	(9.1)	(43.4)	(39056)	(30532)
3	12309	10864	688	593	2360	3322	4483	7251	20.7	95.9	2.1	6.7	113.3	1045671	992049
5	(499)	(451)	(85)	(43)	(198)	(192)	(1387)	(996)	(7.2)	(29.3)	(0.2)	(5.8)	(28.4)	(36253)	(23633)
4	12610	11778	780	738	2294	3034	3209	5303	15.5	35.3	3	11.6	130.7	789592	751128
4	(285)	(712)	(98)	(140)	(288)	(261)	(1613)	(1001)	(9)	(15.2)	(1)	(1.3)	(24.5)	(97652)	(69122)
5	12397	11227	670	591	2131	2567	3461	3928	34	47.9	3.2	10.8	145	776914	692640
5	(555)	(421)	(57)	(31)	(226)	(154)	(547)	(499)	(4.8)	(19.8)	(0.6)	(0.9)	(37.8)	(100174)	(67104)
6	14575	13449	697	534	2109	2386	5505	4865	56.2	71.2	3.5	10.3	138	937804	922528
0	(263)	(284)	(21)	(19)	(210)	(9)	(1498)	(1897)	(31.9)	(63.7)	(0.6)	(2.2)	(29.7)	(46491)	(35054)
7	11384	8223	694	371	2343	1798	4407	2103	23	30.8	2.3	132	530.7	1128147	1057240
/	(463)	(255)	(44)	(20)	(104)	(250)	(466)	(337)	(8.8)	(6.5)	(1.1)	(29.2)	(320.3)	(31354)	(74015)
8	13125	13251	852	770	2577	3949	4384	10105	15.7	69.8	2.8	11	104.9	1128147	1057240
0	(195)	(261)	(229)	(87)	(105)	(94)	(503)	(444)	(4.1)	(13.7)	(0.1)	(13.6)	(57)	(37009)	(59697)
0	12094	10651	683	584	2428	3442	4380	8712	40.3	128.8	2.4	9.5	77.5	1081764	1031301
9	(20)	(2)	(76)	(25)	(168)	(224)	(343)	(437)	(5.2)	(34.5)	(0.1)	(0.3)	(18.7)	(103213)	(45152)
10	12390	12302	610	539	1820	2643	2825	5579	12.8	42.6	3.3	11.5	200.9	1155357	936062
10	(341)	(847)	(79)	(22)	(44)	(137)	(867)	(1552)	(3.9)	(7.7)	(0.7)	(1.6)	(78.4)	(40011)	(33197)
11	11642	11674	754	722	2437	3102	2700	5116	15	40.1	2.7	10.5	278.7	1193266	1093430
11	(816)	(155)	(66)	(3)	(92)	(14)	(275)	(1456)	(3.3)	(33.2)	(1.3)	(1.7)	(129)	(280976)	(43029)
12	9474	12142	692	563	2015	2871	4146	6403	21.6	44.5	2.6	15.6	96.5	1526461	1391515
12	(111)	(596)	(2)	(8)	(100)	(306)	(1453)	(1009)	(5.9)	(19)	(0.8)	(0.6)	(63.9)	(284257)	(206206)

Table 4.1: Average Values (Standard Deviation) of Various Test Parameters

DOC=Dissolved Organic Carbon; LOM=Loss of Material; BP=Black Pixel; FE=Fracture Energy; FI=Flexibility Index

Mix	PreMiST	PostMiST	PreMiST	PostMiS	PreMiST	PostMiS	PreMiST	PostMiST	PreMiS	PostMiS	Effluent	DOC	LOM	PreMiST	PostMiST
No	E _s (MPa)	E _s (MPa)	ITS	T ITS	FE ITS	T FE ITS	FE SCB	FE SCB	T FI	TFI	FM	(mg)	(mg)	BP	BP
	5. 7		(kPa)	(kPa)	(J/m ²)	(J/m ²)	(J/m ²)	(J/m ²)					(8/		
13	13471	12901	716	684	2089	3412	3812	9889	27.3	89.9	2.2	14.8	184.7	1053014	1145165
15	(1342)	(1034)	(5)	(7)	(31)	(306)	(1719)	(1429)	(13.1)	(31.7)	(0.6)	(0.6)	(174)	(110123)	(37727)
14	13213	12562	720	684	1700	2754	3297	6649	11.3	37.6	3.6	10.9	334.9	1141154	1217756
14	(613)	(327)	(119)	(26)	(47)	(4)	(732)	(559)	(4.5)	(8.1)	(0.1)	(1.1)	(321.9)	(13203)	(57331)
15	13056	10345	588	433	1885	2347	7598	9162	45.6	93.5	3	11	104.9	1128147	1057240
15	(253)	(281)	(216)	(2)	(16)	(129)	(1040)	(873)	(8.7)	(17.4)	(0.1)	(2.6)	(90)	(31354)	(74015)
16	13727	14714	932	931	2912	3921	4118	6649	24.5	37.6	3.1	12.1	190.9	1113326	1086906
10	(534)	(721)	(49)	(76)	(46)	(364)	(441)	(559)	(4.9)	(8.1)	(1.1)	(1.5)	(35.3)	(54664)	(52219)
17	12057	11287	546	405	1932	2504	4753	6226	47.4	90.1	2.6	8.3	308.4	1042742	992659
17	(259)	(414)	(54)	(16)	(101)	(132)	(386)	(567)	(6.6)	(48.8)	(0.5)	(0.9)	(209.2)	(58201)	(25024)
18	11694	11143	541	484	1622	2067	1548	2119	11.3	25.6	2.5	9.9	155.3	1106379	977332
10	(569)	(494)	(99)	(28)	(80)	(160)	(456)	(141)	(1.8)	(5.9)	(0.2)	(3.1)	(19)	(38458)	(61942)
10	11697	10054	400	308	1688	2259	1793	4045	23.8	83.3	2.7	10.2	130.9	1193434	1209652
19	(523)	(637)	(160)	(2)	(28)	(157)	(98)	(633)	(3)	(47.7)	(0.6)	(3.8)	(42.6)	(73273)	(51956)
20	12290	11275	647	550	2265	2549	2116	4414	15.9	28.9	3	10.1	50.5	1118450	920488
20	(317)	(414)	(11)	(22)	(149)	(406)	(170)	(2479)	(3.8)	(8.5)	(0.2)	(0.7)	(8.8)	(52473)	(75030)
21	12479	11301	650	525	2192	3209	2066	3969	12	42.9	2.6	10.5	65.1	1190532	1148747
21	(308)	(234)	(8)	(34)	(140)	(106)	(149)	(1645)	(1.1)	(7.3)	(0.3)	(1.2)	(18.5)	(28745)	(9819)
22	13895	12734	641	622	2399	2972	2403	4269	17.2	92.7	2.3	17.5	474.9	1068219	1021573
22	(790)	(606)	(85)	(54)	(21)	(231)	(300)	(513)	(2.1)	(36.5)	(0.4)	(1.7)	(69.2)	(31375)	(41909)
23	11856	11410	504	397	2151	2902	2005	3077	25.7	69.2	2.6	11.1	102.6	1184374	1193382
23	(242)	(437)	(136)	(14)	(217)	(210)	(259)	(404)	(8.1)	(27.5)	(0.2)	(0.5)	(16.1)	(78605)	(71180)
24	12245	11525	801	750	2350	3207	1540	2251	7.1	17.8	2.8	10.8	96.2	1128147	1057240
24	(450)	(571)	(29)	(34)	(92)	(86)	(205)	(125)	(2.3)	(1.9)	(0.3)	(0.7)	(27)	(31354)	(74015)
25	12229	12806	603	504	2035	3085	2152	3050	11	39.9	3.2	17.8	103.2	1238704	1258733
23	(647)	(342)	(100)	(80)	(160)	(261)	(451)	(764)	(6.3)	(22.5)	(0.2)	(0.8)	(43.4)	(67104)	(46491)

 Table 4.1: Average Values (Standard Deviation) of Various Test Parameters (Continued)

Mix	PreMiST	PostMiST	PreMiST	PostMiS	PreMiST	PostMiS	PreMiST	PostMiST	PreMiS	PostMiS	Effluen	DOC	LOM	PreMiST	PostMiST
No	F (MPa)	F (MPa)	ITS	T ITS	FE ITS	T FE ITS	FE SCB	FE SCB	T FI	T FI	t FM	(mg)	(mg)	RP	RP
110	\mathbf{E}_{s} (with a)	\mathbf{E}_{s} (1411 a)	(kPa)	(kPa)	(J/m ²)	(J/m ²)	(J/m ²)	(J/m ²)	111	111	UTMI	(ing)	(ing)	ы	Ы
20	12376	13341	950	883	3862	4432	2825	5116	12.8	40.1	2.4	20.1	111.5	1245242	1165598
20	(836)	(338)	(31)	(16)	(231)	(414)	(327)	(615)	(4.5)	(12.4)	(0.1)	(0.2)	(320.3)	(57331)	(103213)
27	10928	11547	688	581	2903	3646	3209	5116	15.5	30.8	3	10.4	121.8	1275936	1221627
27	(332)	(234)	(28)	(22)	(250)	(234)	(494)	(982)	(1.3)	(34.4)	(0.6)	(2.3)	(18.7)	(75030)	(54664)
28	12180	11955	614	585	1910	3198	3683	2175	9.8	30.9	3.1	8.6	92.5	1190931	1069193
20	(342)	(425)	(149)	(20)	(210)	(100)	(437)	(1014)	(3.2)	(55.4)	(0.9)	(0.9)	(63.9)	(69122)	(100174)
29	10737	10621	608	520	2112	3123	1992	1895	10.5	16.7	2.9	12.8	89.6	1121847	1075040
27	(435)	(321)	(66)	(21)	(168)	(46)	(229)	(871)	(5.2)	(17.6)	(0.7)	(1.7)	(19)	(57331)	(284257)
30	12032	11231	660	701	2491	3167	1579	1779	10	9.7	2.4	18.5	132.9	1025832	1064303
50	(433)	(232)	(98)	(94)	(46)	(217)	(160)	(516)	(1.3)	(65.3)	(0.5)	(1.1)	(69.2)	(41909)	(52473)
31	11492	11411	728	682	2151	2574	2119	3297	15.9	21.6	2.8	15.2	180.6	1137560	1120041
51	(342)	(326)	(92)	(46)	(119)	(94)	(100)	(817)	(2.8)	(43.8)	(0.6)	(0.8)	(27)	(31354)	(67104)
32	11577	12719	925	845	2778	3268	1689	2011	5.9	11.1	2.3	12.3	116.9	1234734	1064744
52	(143)	(625)	(86)	(20)	(168)	(4)	(149)	(715)	(8.5)	(15.4)	(0.1)	(0.9)	(18.3)	(103213)	(57331)
33	10388	11652	985	982	3456	4579	6820	7743	18.6	27.85	3.1	7.5	165.2	1125671	982149
55	(69)	(394)	(22)	(86)	(166)	(244)	(633)	(717)	(4.2)	(43.6)	(0.3)	(0.7)	(16.1)	(28745)	(9819)
34	12352	11835	846	729	2448	3794	4185	6650	11.62	37.61	2.7	12.4	157.8	947814	952518
54	(512)	(83)	(62)	(27)	(94)	(2232)	(652)	(595)	(3.4)	(33.5)	(0.4)	(0.5)	(18.7)	(31375)	(46491)
35	12165	11705	755	616	2481	3903	5503	2685	15.1	11.19	3.2	9.5	120.4	1256357	916032
55	(294)	(545)	(26)	(44)	(311)	(330)	(342)	(943)	(5.4)	(23.7)	(0.6)	(1.2)	(18.5)	(78605)	(54664)
36	11690	12207	849	859	3096	4339	4844	7032	13.4	48.5	2.1	11.4	86.25	1218603	1258732
50	(278)	(24)	(28)	(39)	(1816)	(2552)	(537)	(842)	(4.3)	(25.4)	(0.2)	(0.8)	(63.9)	(67104)	(41909)
37	11356	12631	960	887	3228	3472	5102	7140	15.2	45.2	2.3	15.351	90.153	1236560	1220240
57	(39)	(439)	(134)	(150)	(1912)	(2130)	(519)	(759)	(5.6)	(28.5)	(0.7)	(2.3)	(43.4)	(57331)	(71180)
38	10914	12702	1004	896	3197	4541	4293	5871	12.6	38.7	2.1	11.364	111.9	1221647	1175139
50	(554)	(231)	(37)	(41)	(149)	(245)	(236)	(854)	(2.6)	(45.5)	(0.9)	(1.7)	(320.3)	(69122)	(103213)

 Table 4.1: Average Values (Standard Deviation) of Various Test Parameters (Continued)

4.2 Discussion

The average values of pre-MiST seismic modulus ranged from 14,547 MPa (14.547 GPa) to 9,474 MPa (9.474 GPa) with mix no. 6 showing the highest value and mix no. 12 showing the lowest value. The standard deviation was higher for mix no.1, which is a poor mix compared to mix no. 9, which is a good mix. For the post-MiST seismic modulus, the average values ranged from 14,714 MPa to 8,223 MPa. mix no. 16 had the highest value and mix no.7 had the lowest value. The variance of the post-MiST seismic value was the highest for poor mix no.13 and lowest for good mix no.9. The mean pre-MiST ITS values ranged from 1074 kPa to 400 kPa. mix no. 2 had the highest pre-MiST ITS value and the mix 19 had the lowest value. The standard deviation ranged from 229.0 for mix 8 to 2.0 for mix 12 both of which are poor mix. The mean for the post MiST ITS value ranged from 991 to 308 with the highest post-MiST ITS value for mix 2 and lowest being for mix 19. The standard deviation for the mixes ranged from 140.0 for mix 4 and 2.0 for mix 19 both of which are poor mixes. For the pre-MiST ITS the average values for the mixes ranged from 3,862 J/m² to 1,622 J/m² with mix 26 having the higher value and mix 18 having the lower value. The standard deviation for the mixes were in between 571 and 16. In the post-MiST FE ITS property we can see that mean was varying between 4,432 J/m² to 1,798 J/m² for mixes 26 and 7, respectively, both being poor mixes. The standard deviation in this test property was in between 4 and 414 In the pre-MiST SCB-FE, the average values were in between 7,598 J/m^2 to 1.540 J/m^2 , with the highest value corresponding to mix 15 and the lowest corresponding to mix 18. The standard deviation for this test property ranged from 2,106 for mix 2 to 98 for mix 9. For the post-MiST SCB-FE, the mean was from 10,105 J/m^2 to 1,779 J/m^2 with the maximum value corresponding to mix 8 and the lowest value corresponding to mix 30.

4.3 FTIR Data Average Values and Discussions

The FTIR spectra of all the extracted samples were carried out and absorption spectrum data collected was in the wavelength range of 2000 cm^{-1} to 500 cm^{-1} . A sample spectrum of VTP2 (poor performing mix) sample before and after conditioning is shown in Figure 4.1 and peaks of carbonyl and sulfoxide groups are highlighted. The carbonyl or sulfoxide indices (I_{CO} and I_{SO}





Figure 4.1 A Sample FTIR Spectra with Peaks at Carbonyl and Sulfoxide Groups (Highlighted)

I_{CO} is calculated according to the following equation (Dony *et al.* 2016):

$$I_{co} = \frac{A_1}{A_0} \tag{4.1}$$

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 A_1 is the peak area of carbonyl group at 1700 cm⁻¹, A_0 is the area of peaks referred to ethylene and methyl groups selected as reference peaks and centered at 1460 and 1375 cm⁻¹ respectively. Iso is calculated according to the following equation (Dony *et al.* 2016):

$$I_{so} = \frac{A_2}{A_0} \tag{4.2}$$

 A_2 is the peak area of sulfoxide group at 1030 cm⁻¹. Table 4.2 represents the calculated I_{CO} and I_{SO} indices for all the 17 mixes used for the study.

	Ι	со	I	so
Mix	Pre-	Post-	Pre-	Post-
	MiST	MiST	MiST	MiST
1	0.06	0.05	0.24	0.13
2	0.06	0.05	0.15	0.11
3	0.09	0.05	0.10	0.12
4	0.07	0.08	0.28	0.27
5	0.05	0.07	0.08	0.16
6	0.07	0.05	0.10	0.14
7	0.07	0.11	0.21	0.26
8	0.06	0.04	0.20	0.12
9	0.07	0.08	0.33	0.38
10	0.04	0.04	0.09	0.08
11	0.04	0.04	0.20	0.17
12	0.06	0.08	0.18	0.24
13	0.07	0.04	0.16	0.14
14	0.06	0.09	0.24	0.25
15	0.08	0.08	0.25	0.26
16	0.10	0.09	0.28	0.28
17	0.06	0.03	0.17	0.14

Table 4.2 Indices from FTIR (Ico and Iso)

The following observations are made:

1. I_{SO} values were found to be higher compared to I_{CO} values for all the samples.

2. For mixes seven and twelve, the Post MiST indices were found to be higher. These mixes were poor performance mixes and the slight increase in the indices can be attributed to the aging due to moisture conditioning.

Statistical analysis such as Analysis of Valiance (ANOVA) was carried out using the two indices. It can be seen from the statistical analysis in Table 4.3, that in most of the mixes no significant change was observed between the pre-MiST and the post MiST I_{co} and I_{so} indices. Thus, it can be inferred that moisture damage did not cause enough aging so as to see an increase in the I_{CO} and I_{sO} indices.

4.4 Statistical Analysis and Results Including FTIR Data

The results from all tests were analyzed using Analysis of Valiance (ANOVA) to detect significant difference between the pre- and post-MiST samples. The hypothesis was that poor mixes will show significant differences whereas good mixes will not. Table 4.3 shows a summary of these tests. The results show that significant differences were found between the mixes when the FTIR data were analyzed. There is no single test that is consistently accurate for detecting both good and poor mixes. It can be inferred from Table 4.4 that the properties can be listed in terms of their effectiveness., as: (from high to low): SCB-FI, SCB-FE, E_s, ITS, ITS-FE, and BP. The prediction accuracy was computed as the sum of number of true positives (TP) and true negatives (TN) divided by the number of true positives, true negatives, false positives, and false negatives (Total Observations).

$$Accuracy = \frac{(True\ Positive\ (TP) + True\ Negative\ (TN)}{Total\ Observations\ (n)}$$
(4.3)

For Example, from Table 4.3 the accuracy for Es is calculated as follows:

The total number of True Positive (TP) = 6; (i.e. good mixes identified as good mixes by statistics) The total number of True Negative (TN) = 9; (i.e. poor mixes identified as poor mixes by statistics) Total number of observations (n) = 38

$$E_s Accuracy = \frac{6+9}{38} = 39.5\% \tag{4.4}$$

Table 4.3: Statistical Analysis Results

			ITS_	Rlack	SCB-		FT	-IR	
Mix	E _s	ITS	FE	Pixels	FE	SCB-FI	Carbonyl	Sulfoxide	Performance
1	NO	NO	YES	NO	NO	YES	NO	NO	POOR
2	NO	YES	NO	YES	NO	YES	NO	NO	POOR
3	YES	NO	YES	YES	YES	YES	NO	NO	POOR
4	NO	NO	YES	NO	YES	NO	NO	NO	POOR
5	YES	NO	NO	NO	NO	NO	NO	YES	GOOD
6	NO	NO	NO	NO	NO	NO	NO	NO	GOOD
7	NO	NO	YES	NO	NO	YES	NO	NO	POOR
8	YES	YES	YES	YES	YES	YES	YES	NO	POOR
9	NO	NO	YES	NO	YES	YES	YES	YES	GOOD
10	NO	NO	YES	NO	YES	YES	YES	NO	POOR
11	NO	NO	YES	NO	YES	YES	YES	YES	POOR
12	NO	NO	YES	NO	NO	NO	NO	NO	POOR
13	NO	NO	YES	NO	YES	YES	NO	NO	POOR
14	NO	NO	YES	NO	YES	YES	NO	NO	POOR
15	NO	NO	YES	NO	YES	YES	NO	NO	POOR
16	YES	YES	YES	NO	NO	YES	NO	NO	GOOD
17	YES	YES	YES	NO	YES	NO	YES	YES	GOOD
18	NO	NO	YES	NO	YES	NO	NO	NO	POOR
19	YES	YES	YES	NO	NO	YES	NO	NO	POOR
20	NO	NO	YES	NO	NO	YES	NO	NO	POOR
21	NO	YES	YES	NO	NO	NO	YES	NO	GOOD
22	NO	NO	YES	NO	NO	NO	NO	NO	POOR
23	YES	YES	NO	YES	NO	YES	NO	NO	POOR
24	YES	YES	NO	NO	NO	NO	NO	NO	POOR
25	NO	NO	YES	NO	NO	YES	-	-	GOOD
26	YES	NO	YES	NO	NO	YES	-	-	POOR
27	YES	NO	YES	NO	YES	YES	-	-	POOR
28	NO	NO	YES	NO	YES	NO	-	-	POOR
29	NO	NO	YES	NO	NO	NO	-	-	POOR
30	NO	NO	YES	NO	NO	YES	-	-	POOR
31	NO	NO	YES	NO	NO	YES	-	-	GOOD
32	YES	NO	YES	NO	YES	YES	-	-	POOR
33	YES	NO	YES	NO	YES	NO	-	-	POOR

(YES and NO indicates presence and absence of significant difference, respectively)

Mix	Es	ITS	ITS – FE	Black Pixels	SCB- FE	SCB-FI	Carboxyl	Sulfoxide	Performance
34	NO	YES	NO	NO	NO	YES	-	-	POOR
35	NO	YES	YES	NO	NO	YES	-	-	POOR
36	NO	NO	YES	NO	NO	YES	-	-	GOOD
37	NO	NO	NO	NO	NO	NO	-	-	GOOD
38	NO	YES	YES	NO	NO	NO	-	-	POOR

 Table 4.3: Statistical Analysis Results (Continued)

The following accuracies were obtained for each of the properties.

Table 4.4:	Statistical	Accuracy
------------	-------------	----------

No	Test Property	Accuracy (%)
1	SCB-FI	65.8
2	SCB-FE	52.6
3	Es	39.5
4	ITS	36.8
5	ITS-FE	73.7
6	BP	34.2

4.5 Use of Radar Chart to Evaluate Multiple Criteria Based on Multiple Test Properties

The multi variate data can be displayed ina graphical form using a radar chart. The radar chart may display three or more variables that can be quantified. The axes for the variables start from the same point. In the graphical representation, the relative position as well as the angle of the axes are not important. The relative position of the points reveal the distinct correlations and other information which can be used to compare the importance of the different variable influencing the dependent variable.

Since no individual property was found to have a high degree of accuracy, one option could be the use of multiple properties using radar charts, and comparing a radar chart of a good mix to any other mix in question. The radar chart was prepared to understand the relative difference between the mixes, by normalizing the values with respect to those of the best performing mix (mix 16). Such a chart can be utilized by DOTs on a regular basis for comparative evaluation of mixes. For example, Figure 4.2 shows two radar charts – one for a good mix (mix 21) and another for a poor mix (mix 7).



Figure 4.2: Radar Chart a) Good Mix; b) Poor Mix

It can be inferred from the figure that the imprints on the charts are different for the two mixes. The good mix 21 has post MiST black pixels, Es ratio, TSR, ITS-FE ratio properties similar to mix 16, whereas the poor mix 7 has lower values for the same properties in comparison to mix 16.

5.0 APPLICATION OF MACHINE LEARNING IN MOISTURE INDUCED DAMAGE PREDICTION IN HOT MIX ASPHALT

5.1 Study Approach

The approach adopted in this research is illustrated with a flowchart in Figure 5.1.

Step 1: A dataset of test properties of mixes and classifications were collected according to their field performance: In this step, the data on test properties of mixes and materials, and their performance, related parameters or actual performance in the field were compiled. This step forms the backbone of the entire process. The bigger the dataset, the more robust and reliable will be the predictions.

Step 2: A correlation analysis was performed on the experimental dataset to isolate uncorrelated (relevant) properties: To identify the relevant variables, the test properties collected in Step 1 were subjected to a correlation analysis and pairs of properties whose correlation coefficient exceeds 0.8 were identified. For each of these pairs, the test property, which can be obtained relatively easily, was retained for further analysis.

Step 3: A Principal Component Analysis (PCA) was performed. The purpose of the analysis is to reduce the dimensions and identification of the first three PCs that can identify most of the variance in the data.

Step 4: Using the PCAs as predictors and performance as target, appropriate ML methods were used to identify the best model, with the highest accuracy

Step 5: The ML model was developed in step 4 by building an App with features to check the quality of the mix with input parameters from the user.

Step 6: The mixes were classified as "good" by the ML model, as a possible option for the designer to accept or reject a mix.

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Figure 5.1 shows the overall framework for the application of Machine Learning technique to identify mixes that are susceptible to moisture induced damages.



Figure 5.1: Flowchart of ML Framework Steps

5.2 Results of ML Analysis 5.2.1 Correlation Analysis

The test data were first subjected to a correlation analysis to determine whether there are factors that are strongly correlated to each other. Figure 5.2 shows the results of the analysis where a higher correlation (R = 0.66) between the FE SCB Ratio and FI ratio was found. Therefore, in further analysis, only FI ratio was utilized.



Figure 5.2: Correlation of Variables

A number of variables were explored in this study, and it was necessary to determine which of them were important and which could be eliminated from further analyses without causing a significant loss of quality of the data. This was accomplished with PCA. First, PCA was carried out with all of the test property variables: E_s ratio, ITS ratio, FE ITS ratio, FI ratio, BP ratio, DOC,

LOM, and FM. Figure 5.3 shows the results of PCA in the form of a Pareto chart, which highlights the most important set of factors that explain the effects. The x-axis shows the seven principal components obtained after the analysis, the bars show the individual variance, and the line shows the cumulative variance. The chart shows that the first three PCs can explain 70% of variance in the data. The coefficients of principal components 1, 2, and 3 can be seen in Table 5.1. A contribution value was calculated by summing the products of the variance of each principal component with the coefficients of each of the variables.



Figure 5.3: Pareto Chart Showing the Percent of Variance in The Data Explained by The First Six Principal Components (PC)

		ITS		BP			
PC	Es ratio	ratio	FI ratio	ratio	DOC	LOM	FM
1	-0.37	-0.43	-0.46	-0.11	-0.07	0.53	0.39
2	-0.16	0.14	0.23	0.62	0.50	0.07	0.31
3	-0.33	-0.15	0.31	-0.22	0.55	0.02	0.10

Table 5.1: Coefficients for the Different Predictors for PC 1, PC 2, and PC 3

Next, a contribution value was calculated by summing the products of the variance of each principal component with the coefficients of each of the variables (as shown in Table 5.1). The contribution value of each variable toward the composite principal component is shown in Figure 5.4. This shows that E_s ratio, DOC, ITS, BP ratio are the most significant variables in that they explain most of the variances in the data.



Figure 5.4: Contribution of the Different Variables Towards the Composite Principal Component

Next, PCA was carried out again with only E_s ratio, ITS ratio, DOC, and BP ratio as the variables. The Pareto chart (Figure 5.5) shows that principal components 1, 2, and 3 can explain 90% of the variance. The coefficients of the first three principal components are shown in Table 5.2. These coefficients were used to calculate the contributions of each of the variables, which are shown in Figure 5.6.



Figure 5.5: Pareto Chart showing the Percent of Variance in the Data Explained by the First Four Principal Components (PC)

Table 5.2: Coefficients for the Different Predictors for PC 1, PC 2 and PC 3

PC	Es ratio	ITS ratio	FE ITS ratio	BP ratio	DOC
1	-0.42	-0.52	-0.50	-0.12	0.54
2	-0.42	-0.06	0.32	0.84	0.11
3	0.76	0.00	-0.18	0.38	0.50

Figure 5.6 shows the contribution value of each variable. The contribution values were then used to estimate the composite scores of the mixes by multiplying the normalized value by the contribution value as shown in Figure 5.7. Also, a high value of composite score was found for mix 17, which exhibited good performance in the field.



Figure 5.6: Contribution of the Different Variables Towards the Composite Principal



Figure 5.7: Composite Score of Each of the 38 Mixes with Green Bars Indicating Good

Mixes

While the use of ITS ratio is shown to be significant in this study, one concern is that poorperforming mixes may show a high ITS ratio in spite of having actually low tensile strengths (both pre- and post-MiST). As has been done in prior studies (e.g, Choubane et al. 2000), an additional criterion of a minimum conditioned ITS can be utilized. For example, a study of field mixes from the Maine DOT (Arepalli et al. 2017; Veeraragavan et al. 2018) showed that moisture-susceptible mixes exhibit a post conditioning ITS value of <500 kPa, while those that are not moisturesusceptible show a post conditioning ITS value of>500 kPa. Therefore, a minimum post conditioning ITS value of 500 kPa can be used, along with the suggested method, to ensure adequately moisture-resistant mixes.

5.3 Application of Machine Learning Techniques:

The most significant variables identified from the results of PCA (E_s ratio, ITS ratio, FE ITS ratio BP ratio and DOC) were used to develop ML models to predict the performance of the mixes. A set of supervised machine learning algorithms based on the k-nearest neighbor and naïve Bayes methods were used in this study.

5.3.1 K-Nearest Neighbor (K-NN) Method

The data were divided into training and testing sets. The training data set consisted of 60% of the data, and k-fold validation was carried out. The model was run several times to get an optimized set of parameters by minimizing the validation error. Both k-fold and distance parameters were varied for the different models. The validation error was found to be minimized when the number of nearest neighbors was set at six. The optimized set of parameters for the k-nearest neighbor model obtained from the results are as follows: number of nearest neighbors, six; distance, standardized Euclidean distance; distance weight, squared inverse; k-fold, three. The confusion matrix for the prediction from this optimized model showed an accuracy of 83% as shown in Figure 5.8.



Figure 5.8: Confusion Plot for NN Method

5.3.2 Naïve Bayes (NB) Method

The naïve Bayes method is commonly used for analyzing small data sets with many parameters. The process assumes that the features in a class are not related to each other. The training data set consisted of 60% of the data, and k-fold validation was also carried out. The model that gave the best accuracy was used to predict the test data. The confusion plot (Figure 5.9) showed an accuracy of 59%.



Figure 5.9: Confusion Plot for NB Method

5.4 Application (APP) For the Use of ML Model:

A Graphical User Interface (GUI) application was developed as a way for the user to provide complex instructions to communicate with the machine easily. MATLAB application offers a self-contained GUI program that is capable of executing complex machine learning predictions calculations without the need to learn computer coding (Pueyo, 2015). In order to build the application, MATLAB offers a variety of tools, one of them is the app designer tool (https://www.mathworks.com/products/matlab/app-designer.html). Figure 5.10 shows the app designer interface layout to design the app. The app designer interface consists of three columns namely component library at the left region, the canvas at the center and component properties on the right. Following are the steps followed for developing the app.



Figure 5.10: Layout of MATLAB App Designer Interface

Step 1: For Designing a user interface using, various components are aligned in the design canvas to get the layout of the app. Various inputs such as E ratio, ITS ratio, FE ITS ratio, BP ratio and DOC are identified as the inputs to be provided by the user. The equations used to calculate the ratios are as follows:

$$E_s ratio = \frac{Post \, MIST \, E_s}{Pre \, MIST \, E_s} \tag{5.1}$$

$$ITS \ ratio = \frac{Post \ MIST \ ITS}{Pre \ MIST \ ITS}$$
(5.2)

$$FE - ITS \ ratio = \frac{Post \ MIST \ FE \ ITS}{Pre \ MIST \ FE \ ITS}$$
(5.3)

$$BP \ ratio = \frac{Post \ MIST \ BP}{Pre \ MIST \ BP}$$
(5.4)

Step 2: Standard components such as buttons and text fields are used from the library of the APP designer in MATLAB. Along with the input components, a 'Classify' button and a field 'Performance' is used to show the predicted response.

Step 3: Callback functions are added that will execute the machine learning model, in this case KNN model, when the user uses the 'Classify' button. Thus, as per the input given by the user and the saved ML Model, performance of the mix is shown as 'Good' or 'Poor'. The detail code used as the call back function is given in the Appendix B.

Step 4: The app can be shared with other MATLAB users. A freely available single MATLAB application installation file will enable others to access the application and use it for predicting the performance of the asphalt mixes

For this App development from the PCA analysis run on the data, Es ratio, ITS ratio, FE ITS ratio BP ratio and DOC have been used as the significant variables which are necessary as inputs to classify a mix performance. From the PCA analysis the K-NN model was found to have a higher accuracy of 84%. Five inputs were therefore placed on the canvas for each individual ratio as inputs from the user, and a classify button was used to assign and run the K-NN machine learning model. The final predictor or the output from the model is displayed under performance. Figure 5.11 shows the MATLAB application user interface with Es ratio, ITS ratio, FEITS ratio, BP ratio and DOC as inputs and the performance of the mix predicted as the final output.

承 Ul Figure			×
	Esratio 90		
	ITSratio 87		
	FEITSratio 60		
	BPratio 70		
	DOC 10		
	Classify		
	Performance Poor		

Figure 5.11: MATLAB Application Interface to Classify Mix Performance

6.0 EVALUATION OF USE OF FIBERS FOR THE ENHANCEMENT OF RESISTANCE AGAINST MOISTURE DAMAGE

6.1 Fibers in HMA for Improved Performance

Several technologies have been developed over the years to improve the moisture susceptibility of HMA. It is reported that fibers when added to HMA can result in reduced moisture induced damages to the HMA. The fiber is likely to enhance bonding between the aggregates and the binder into a dense mix resulting in reduced moisture induced damages. Researchers have used polymers and glass fibers in their research investigations. Few researchers have also used natural fibers like coir, jute etc. In the present study, High Tenacity Polypropylene Fiber (HTPP), a synthetic fiber, is used. These polypropylene fibers are typically used in concrete mixes and are generally cheap and readily available (Kalbskopf *et al.*, 2003). HTPP fibers have demonstrated an increase in tensile strength in concrete and hence an attempt is made in the present study to explore the benefits of reduced moisture induced damage in HMA. HTPP fibers are found to have a higher melting point, between 160° C (320° F) and 170° C (338° F) (Qin, Y. et al., 2019), which is the above the mixing temperature adopted for most commonly used HMA. The specific fibers used in this study were purchased from Staint Gobain Brazil and are 10 mm (0.39 in) in diameter and 12 µm (4.7×10^{-4} in) in length.

Table 6.1 shows the physical properties of the HTPP fibers.

Description	Property values
Titer	2, 8 to 6 dtex
Cut-Length	40-120 mm
Tenacity	3,8-5,4 cN/dtex
Elongation	>40%->80%
Specific Weight	0.91 g/cm3
Melting point	163°C
Color	White

Table 6.1. Physical Properties of HTPP Fibers

In the present investigation, one poor performing mix no. 3 was chosen. The dosage of HTPP fibers at 0.25% of total mass was added. If the dosage of the fibers is high, it was observed that non-uniform distribution of the fibers occurred in the mix resulting in wide variation in the obtained bulk densities. The mix was heated to 150°C (302°F) for 2 hours. The hot mix was blended with 0.25% fibers in a mechanical mixer for 1 minute. Later the mix was conditioned in an over for 30 min and remixed in the mixer for one minute again and compacted. Figure 6.1 shows the pre- and post-MIST ITS results with and without HTPP fibers.



Figure: 6.1: Pre-MiST and Post-MiST ITS Results with 0% and 0.25% HTPP Fibers

The findings from this part of the study are as follows.

- 1. Higher ITS strength of about 21% was obtained for the samples with 0.25% fibers, tested without moisture conditioning when compared with those without fibers.
- The retained ITS strength was about 79.6% (approx. 80%) after the post MiST conditioning. The desirable minimum retained ITS strength in the field is 80%
- It was found that the samples prepared without the addition of the fibers showed a lower ITS strength of only 67.9% and hence they do not meet the minimum required retained ITS strength.
4. The ITS strength increased by 22.78% for the post MiST samples with 0.25% fibers, when compared to the samples without fiber.

The addition of fibers resulted in increased resistance to moisture induced damage in the HMA.

7.0 CONCLUSIONS AND RECOMMENDATIONS

7.1 Conclusions

- 1. MiST conditioning process with the 20-hour dwell period prior to 3,500 pressure cycling at 275 kPa was found to be able to simulate field moisture damage conditions in the laboratory.
- Poor mixes are found to lose more finer aggregates during the MiST conditioning process than good mixes.
- 3. Non-destructive testing using ultrasonic pulse velocity was able to identify moisture susceptible mixes. Seismic modulus and indirect tensile strength tests, used in conjunction with a MiST conditioning process consisting of a dwelling period followed by pressure cycles, have good potential for identifying moisture-susceptible HMA
- 4. Test properties such as seismic modulus and indirect tensile strength after moisture conditioning can be used by agencies to predict the moisture damage potential of HMA. Statistical analyses of the seismic modulus and ITS of pre- and post-conditioned samples were able to differentiate between good- and poor-performance mixes with moderate accuracy.
- 5. Principal component analysis identified the following properties as the primary factors that could explain most of the variance in the data: seismic modulus, indirect tensile strength, black pixels, and fracture energy from indirect tensile strength.
- 6. The models developed with two machine learning techniques, the K-Nearest Neighbor and Naïve Bayes (NB) method show excellent accuracy for classification of mixes into good and poor performing in terms of potential of moisture damage.
- 7. The nearest neighbor model, a supervised machine learning technique, classified the good and poor mixes with 84% accuracy.
- 8. The use of machine learning techniques and the app could help agencies to detect moisture susceptible asphalt mixtures and can be used to develop appropriate specifications that could be used to build moisture resistant pavement.
- 9. Although most of the mixes showed a decrease in black pixels content after moisture conditioning and the poor-performance mixes tended to show a higher change, statistical

analysis of the BP content of samples of these mixes failed to differentiate between goodand poor-performance mixes with high accuracy.

10. The machine learning model developed can be used for classification of good and poor performing HMA. The application of artificial intelligence in prediction of moisture susceptible asphalt mixtures and the App developed as an outcome of the present research work will help the state agencies identifying the good and poor mixes before construction.

7.2 Recommendations

Recommendations are made for utilizing the suite of tests identified in this research work along with models developed from machine learning techniques, to classify mixes in terms of their moisture susceptibility. A minimum value of post conditioned indirect tensile strength may also be used to identify good and poor mixes. The use of the indirect tensile strength test along with the ultrasonic pulse velocity test is recommended.

7.3 Scope for Future Work

It is acknowledged that the data used for this study are limited, and that the developed models could be improved significantly with more data, i.e., from a number of mixes with known field performance and laboratory test properties. Therefore, the developed method is proposed as a framework, which can be expanded and improved, by agencies and researchers with additional performance data.

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APPENDIX A

Appendix A consists of plots comparing FTIR absorption spectrum before and after MiST testing for each of the 17 extracted binders. The calculated Ico and Iso indices results are also presented as tables at the end of the plots.





 $[\]begin{array}{l} I_{co(pre)}{=}\;0.06 \ ; \ I_{co(post)}{=}\;0.04 \\ I_{so(pre)}{=}\;0.15 \ ; \ I_{so(post)}{=}\;0.11 \end{array}$





































Mix ID	Pre-MiST	Post-MiST	Pre-MiST	Post-MiST	Dorformonco
	Ico	Ico	Iso	Iso	remominance
	0.07	0.03	0.18	0.12	
1	0.06	0.05	0.37	0.07	Poor
	0.06	0.06	0.16	0.20	
	0.06	0.04	0.17	0.15	
2	0.05	0.06	0.14	0.07	Poor
	0.06	0.04	0.15	0.12	
	0.07	0.05	0.09	0.09	
3	0.08	0.00	0.11	0.16	Poor
	0.13	0.09	0.10	0.11	
	0.08	0.10	0.21	0.33	
4	0.05	0.07	0.33	0.12	Poor
	0.08	0.07	0.30	0.36	
	0.06	0.07	0.08	0.17	
5	0.03	0.06	0.08	0.16	Good
	0.06	0.07	0.07	0.14	
	0.07	0.04	0.10	0.15	
7	0.08	0.05	0.06	0.15	Poor
	0.07	0.05	0.15	0.14	
	0.07	0.08	0.21	0.26	
8	0.08	0.08	0.20	0.26	Poor
	0.07	0.09	0.21	0.27	
	0.07	0.11	0.30	0.42	
9	0.06	0.11	0.35	0.36	Good
	0.07	0.11	0.35	0.36	
	0.06	0.03	0.16	0.15	
11	0.07	0.04	0.16	0.11	Poor
	0.06	0.03	0.18	0.16	

A 1.2: FTIR Ico and Iso indices Results:

Mir ID	Pre-MiST	Post-MiST	Pre-MiST	Post-MiST	Daufaumanaa
	Ico	Ісо	Iso	Iso	Performance
	0.09	0.08	0.28	0.29	
12	0.09	0.07	0.28	0.28	Poor
	0.11	0.12	0.28	0.27	
	0.07	0.04	0.16	0.17	
15	0.07	0.04	0.16	0.17	Poor
	0.07	0.04	0.16	0.17	
	0.05	0.04	0.10	0.08	
16	0.04	0.04	0.09	0.08	Good
	0.03	0.04	0.08	0.08	
	0.04	0.04	0.20	0.17	
17	0.04	0.04	0.20	0.17	Good
	0.04	0.04	0.19	0.17	
	0.07	0.08	0.18	0.23	
18	0.06	0.09	0.18	0.24	Poor
	0.06	0.08	0.18	0.25	
				r	1
	0.07	0.09	0.21	0.24	
21	0.08	0.09	0.24	0.26	Good
	0.03	0.09	0.26	0.25	
					1
	0.06	0.04	0.16	0.12	
23	0.08	0.04	0.16	0.16	Poor
	0.07	0.05	0.18	0.15	
				r	1
	0.08	0.07	0.27	0.21	
24	0.07	0.08	0.22	0.27	Poor
	0.08	0.09	0.26	0.29	

<u>A 1.2: FTIR Ico and Iso indices Results (Continued).</u>

APPENDIX B

Appendix B consists of raw obtained from various mixture testing. These test results include:

- 1. Seismic modulus (E_s), from Ultrasonic Pulse Velocity (UPV) test.
- 2. Indirect Tensile Strength (ITS) and Fracture Energy (FE-ITS) results obtained from tensile strength test.
- 3. Fracture Energy (FE-SCB) and Flexibility Index (FI-SCB) from semi-circular bending (SCB) test .
- 4. Black Pixels (BP) from image analysis.
- Loss of Material (LOM), Dissolved Organic Carbon (DOC), Fineness Modulus (FM) from MiST effluent analysis

Mix ID	Sampla No	Pre-MiST Post-MiST		Parformanca	
	Sample No.	Es (MPa)	Es (MPa)	I CITOI Mance	
	1	11,418	13,169		
1	2	14,196	13,741	Poor	
	3	13,721	12,473		
	1	13,721	13,045		
2	2	12,542	12,507	Poor	
	3	13,238	13,128		
	1	12,638	10,528		
3	2	12,555	11,376	Poor	
	3	11,735	10,687		
	1	12,792	12,599		
4	2	12,282	11,403	Poor	
	3	12,757	11,331		
	1	11,903	10,821		
5	2	12,292	11,197	Good	
	3	12,997	11,662		
6	1	14,389	13,650	Cood	
0	2	14,761	13,248	6000	
	1	11,902	8,356		
7	2	11,009	8,384	Poor	
	3	11,240	7,928		
0	1	12,986	13,066	Door	
0	2	13,262	13,435	POOL	
0	1	12,107	10,652	Cood	
9	2	12,080	10,649	G000	
10	1	12,631	11,703	Do - T	
10	2	12,149	12,901	Poor	

B 1.1: Ultrasonic Pulse Velocity (UPV) Test Results

Mix ID	Sample No.	Pre-MiST Es (MPa)	Post-MiST Es (MPa)	Performance
	1	11,064	11,564	5
11	2	12,218	11,784	Poor
10	1	9,395	12,563	D
12	2	9,552	11,721	Poor
12	1	12,522	12,169	Door
13	2	14,420	13,631	FOOI
14	1	13,646	12,330	Poor
14	2	12,779	12,793	1 001
	1	12,878	10,522	
15	2	12,943	10,022	Poor
	3	13,344	10,492	
	1	13,570	15,532	
16	2	13,288	14,173	Good
	3	14,321	14,436	
	1	12,295	10,825	
17	2	11,781	11,411	Good
	3	12,093	11,625	
	1	11,293	10,930	
18	2	11,442	10,792	Poor
	3	12,346	11,707	
	1	12,270	10,790	
19	2	11,247	9,691	Poor
	3	11,573	9,681	
	1	12,588	11,702	
20	2	11,957	10,875	Poor
	3	12,324	11,247	

B 1.1: Ultrasonic Pulse Velocity (UPV) Test Results (Continued)

Mix ID	Sample No.	Pre-MiST Es (MPa)	Post-MiST Es (MPa)	Performance
	1	12,790	11.065	
21	2	12,472	11,305	Good
	3	12,175	11,533	
			,	
	1	14,790	13,168	
22	2	13,601	12,991	Poor
	3	13,294	12,041	
	1	11,606	10,907	
23	2	11,872	11,710	Poor
	3	12,088	11,611	
	1	11,857	11,807	
24	2	12,739	11,898	Poor
	3	12,139	10,867	
25	1	11,545	12,684	
	2	11,613	12,423	Good
	3	13,531	13,311	
	1	12,428	12,647	
26	2	12,499	13,594	Poor
	3	12,200	13,783	
	1	11,097	11,388	
27	2	11,380	11,358	Poor
	3	10,307	11,896	
	1	12,346	12,087	_
28	2	11,405	11,447	Poor
	3	12,788	12,333	
	1	11 11 7	10.024	
•		11,115	10,234	D
29	2	10,862	10,205	Poor
	3	11,633	10,111	

B 1.1: Ultrasonic Pulse Velocity (UPV) Test Results (Continued)

Mix ID	Sample No.	Pre-MiST Es (MPa)	Post-MiST Es (MPa)	Performance
	1	13.947	11.450	
30	2	11,124	10,397	Poor
	3	11,024	11,848	
	1	11,935	11,912	
31	2	11,925	11,157	Poor
	3	10,617	11,164	
	1	12,047	12,707	
32	2	11,342	12,747	Poor
	3	11,343	12,702	
33	1	10,325	11,442	
	2	10,387	12,110	Poor
	3	10,883	11,410	
24	1	12,714	11,776	Poor
34	2	11,990	11,893	1001
	1	12,475	11,507	
35	2	11,888	11,285	Poor
	3	12,133	12,320	
36	1	11,886	12,227	Good
	2	11,496	12,193	0000
37	1	11,476	12,320	Good
	2	11,385	12,944	
	1	10,528	12,969	
38	2	10,666	12,555	Poor
	3	11,549	12,583	

B 1.1: Ultrasonic Pulse Velocity (UPV) Test Results (Continued)

Mix ID	Pre-MiST ITS (kPa)	Post-MiST ITS (kPa)	Pre-MiST FE-ITS (J/m ²)	Post-MiST FE-ITS (J/m ²)	Performance
	803	855	1,975	3,335	
1	909	772	1,976	3,061	Poor
	844	603	2,310	2,573	
	1,041	1,019	3,133	3,866	
2	1,074	989	4,188	4,196	Poor
	1,107	965	3,282	3,746	
	677	546	2,410	3,113	
3	778	631	2,528	3,365	Poor
	609	603	2,142	3,489	
	667	900	1,965	3,287	
4	846	665	2,415	3,050	Poor
	826	650	2,501	2,765	
	638	567	1,993	2,439	
5	736	581	2,392	2,524	Good
	636	626	2,008	2,738	
6	691	547	2,087	2,393	Good
0	703	520	2,383	2,380	Good
	732	375	2,447	2,068	
7	667	349	2,344	1,573	Poor
	684	388	2,238	1,755	
0	836	831	2,503	3,882	Door
0	868	709	2,651	4,015	FOOI
0	641	602	2,547	3,600	Good
9	725	567	2,310	3,283	Good
10	618	524	1,851	2,546	Poor
10	601	554	1,789	2,740	1 001

<u>B 1.2: Indirect Tensile Strength (ITS) Test Results</u>

Mix ID	Pre-MiST ITS (kPa)	Post-MiST ITS (kPa)	Pre-MiST FE-ITS (J/m ²)	Post-MiST FE-ITS (J/m ²)	Performance
1.1	713	720	2,502	3,111	D
11	795	724	2,372	3,092	Poor
10	702	558	2,085	2,939	D
12	681	569	1,944	2,803	Poor
12	684	689	2,066	3,629	Door
15	748	678	2,111	3,196	POOL
14	741	702	1,733	2,751	Poor
14	699	665	1,666	2,757	1 001
	601	435	1,895	2,270	_
15	572	431	1,892	2,275	Poor
	592	432	1,867	2,496	
	956	970	2,963	4,276	_
16	930	842	2,873	3,549	Good
	910	979	2,900	3,940	
	544	415	1,822	2,352	_
17	545	387	1,954	2,571	Good
	550	415	2,020	2,588	
	530	452	1,668	1,934	-
18	547	506	1,530	2,245	Poor
	546	494	1,668	2,023	
	375	305	1,700	2,383	-
19	416	309	1,709	2,082	Poor
	410	309	1,656	2,311	
	691	564	2,423	2,890	-
20	632	561	2,127	2,657	Poor
	617	525	2,244	2,101	

<u>B 1.2: Indirect Tensile Strength (ITS) Test Results (Continued)</u>

Mix ID	Pre-MiST ITS (kPa)	Post-MiST ITS (kPa)	Pre-MiST FE-ITS (J/m ²)	Post-MiST FE-ITS (J/m ²)	Performance
	638	509	2,161	3,244	
21	662	501	2,345	3,292	Good
	650	563	2,070	3,090	
	646	577	2,417	2,741	
22	618	682	2,377	2,971	Poor
	658	609	2,402	3,204	
	495	381	1,954	3,139	
23	525	408	2,384	2,736	Poor
	491	404	2,115	2,831	
	742	745	2,322	3,305	
24	811	718	2,453	3,164	Poor
	852	787	2,275	3,151	
	573	533	1,779	2,837	
25	629	482	2,087	3,169	Good
	607	495	2,240	3,250	
	949	873	3,489	4,305	
26	969	850	3,714	4,557	Poor
	932	926	4,383	4,434	
	715	580	2,838	3,485	
27	655	555	2,816	3,793	Poor
	695	609	3,053	3,662	
	636	596	2,557	3,213	
28	599	565	2,008	3,106	Poor
	606	594	1,164	3,276	
	569	450	1,968	2,604	
29	667	502	2,222	2,666	Poor
	588	512	2,144	2,739	

<u>B 1.2: Indirect Tensile Strength (ITS) Test Results (Continued)</u>
Mix ID	Pre-MiST ITS (kPa)	Post-MiST ITS (kPa)	Pre-MiST FE-ITS (J/m ²)	Post-MiST FE-ITS (J/m ²)	Performance
	578	665	2,276	3,089	
30	641	760	2,391	3,007	Poor
	761	678	2,807	3,406	-
	738	677	2,040	2,445	
31	716	698	2,154	2,715	Poor
	731	671	2,259	2,563	
	868	865	2,543	3,304	
32	952	869	2,932	3,217	Poor
	953	801	2,861	3,282	
	978	883	3,398	4,373	
33	968	1,037	3,434	4,824	Poor
	1,010	1,026	3,702	4,761	
34	802	710	2,514	5,372	Door
	890	748	2,382	2,216	FOOI
	727	625	2,171	4,346	
35	761	568	2,625	3,766	Poor
	777	654	2,767	3,784	
36	828	837	3,158	4,220	Good
50	781	904	3,132	4,597	Good
37	1,064	865	3,018	2,904	Good
57	917	875	3,542	4,151	Good
	1,035	888	3,078	4,396	
38	963	860	3,309	4,566	Poor
	1,013	941	3,358	4,880	

<u>B 1.2: Indirect Tensile Strength (ITS) Test Results (Continued)</u>

<u>B 1.3: Semi-Circular Bend (SCB) Test Results</u>

Mix ID	Pre-MIST FE-SCB (J/m ²)	Post-MIST FE-SCB (J/m ²)	Pre-MIST SCB-FI	Post-MIST SCB-FI	Performanc e
1	1,513	7,123	9.6	35.4	
	2,247	4,897	7.7	49.5	Door
	2,224	2,706	14.5	17.8	Poor
	4,363	3,998	26.6	33.9	
	5,104	6,447	25.9	43.9	
2	2,281	4,946	9.2	37.5	Deer
	7,415	9,932	17.1	62.5	Poor
	4,603	7,634	13.3	39.2	
	6,326	8,634	30.3	66.9	
2	4,709	7,307	21.7	89.0	Deer
3	3,758	6,426	16.7	136.7	Poor
	3,141	6,635	14.0	90.9	
	1,783	6,092	7.2	32.8	
4	2,968	4,672	11.0	20.5	Door
4	5,512	4,230	27.8	31.6	POOr
	2,571	6,218	15.9	56.5	
	2,800	3,661	28.3	34.2	
5	3,238	3,380	35.6	39.3	Cood
5	3,796	4,192	32.5	40.7	Good
	4,009	4,481	39.7	77.3	
	6,783	5,894	30.2	90.7	
6	5,686	3,275	69.3	17.2	Good
	6,190	3,269	95.2	24.0	
	4,939	1,869	35.8	23.4	
7	3,806	2,276	21.1	39.3	Poor
/	4,394	2,490	18.6	30.0	1 001
	4,490	1,777	16.4	30.6	

Mix ID	Pre-MIST FE-SCB (J/m ²)	Post-MIST FE-SCB (J/m ²)	Pre-MIST SCB-FI	Post-MIST SCB-FI	Performance
	4,100	9,642	11.8	83.0	
8	4,122	9,864	12.7	50.8	
	4,177	10,647	18.0	70.0	Poor
	5,138	10,266	20.2	75.5	
	3,982	8,209	39.8	161.0	
0	4,644	8,636	36.3	134.0	Cood
9	4,206	9,273	37.2	79.9	Good
	4,686	8,731	47.8	140.3	
	2,862	7,762	16.7	41.1	
10	2,030	5,596	8.8	53.8	Poor
10	4,021	4,622	15.5	39.2	1 001
	2,388	4,336	10.1	36.4	
	2,487	6,742	12.2	78.4	
11	2,903	4,673	13.1	22.4	Poor
11	2,971	3,933	19.7	19.7	
	2,440		15.2		
	3,234	5,870	18.2	50.6	
12	3,457	7,913	17.0	68.8	Poor
12	3,578	5,831	21.2	28.7	1001
	6,314	5,997	30.1	30.0	
	5,874	11,399	38.9	92.7	_
13	1,705	8,969	8.4	85.4	Poor
10	4,128	10,783	31.3	52.1	1001
	3,540	8,406	30.5	129.3	
	4,338	6,520	17.6	49.4	
14	2,631	7,400	7.4	33.0	Poor
**	3,174	6,624	11.3	36.4	
	3,043	6,052	8.7	31.7	

<u>B 1.3: Semi-Circular Bend (SCB) Test Results (Continued)</u>

Mix ID	Pre-MIST FE-SCB (J/m ²)	Post-MIST FE-SCB (J/m ²)	Pre-MIST SCB-FI	Post-MIST SCB-FI	Performance
	8,289	9,256	47.9	106.4	
	7,350	9,379	34.4	97.5	
15	6,234	10,051	44.9	102.1	Poor
	8,518	7,963	55.3	68.1	
	3,764	6,520	20.5	49.4	
10	4,747	7,400	22.5	33.0	Card
10	4,096	6,624	23.5	36.4	Good
	3,868	6,052	31.7	31.7	
	5,285	6,975	47.2	98.2	
17	4,361	6,278	40.0	51.9	Door
1/	4,701	6,030	56.0	54.4	Poor
	4,664	5,623	46.6	156.0	
	1,311	2,073	9.3	18.2	
10	2,224	2,095	13.7	24.4	Door
10	1,409	1,988	11.6	32.1	Poor
	1,249	2,319	10.7	27.9	
	1,714	3,736	27.2	59.3	
10	1,703	4,828	22.4	73.2	Door
19	1,889	3,371	20.5	153.2	FOOI
	1,865	4,244	25.2	47.7	
	2,010	3,380	20.5	27.3	
20	2,228	8,126	16.3	40.0	Door
20	2,290	3,053	15.7	29.1	FOOI
	1,936	3,098	11.3	19.4	
	1,969	6,421	10.8	48.3	
21	1,919	3,227	11.8	32.3	Good
41	2,244	3,328	12.1	44.4	
	2,131	2,902	13.4	46.8	

<u>B 1.3: Semi-Circular Bend (SCB) Test Results (Continued)</u>

Mix ID	Pre-MIST FE-SCB (J/m ²)	Post-MIST FE-SCB (J/m ²)	Pre-MIST SCB-FI	Post-MIST SCB-FI	Performance
	2,360	4,858	19.0	138.8	
22	2,351	4,414	17.0	52.6	Deen
22	2,812	4,178	18.4	78.8	Poor
	2,089	3,625	14.2	100.7	
	2,232	2,988	37.8	63.6	
22	1,634	2,657	22.4	44.3	Deer
23	2,105	3,036	21.3	108.4	Poor
	2,052	3,628	21.4	60.5	-
	1,519	2,426	5.1	17.3	
24	1,631	2,241	7.8	15.7	Door
24	1,745	2,130	10.0	18.2	POOL
	1,265	2,206	5.5	20.2	
25	2025	2913	11.1	48.6	Good
23	2278	3187	10.9	31.3	Good
26	2724	5076	11.5	38.9	Poor
20	2927	5156	12.5	43.2	1001
27	2985	4983	18.2	29.6	Poor
21	3155	5242	12.5	31.5	1001
28	3985	2101	32.7	15.9	Poor
20	3381	2248	22.1	13.5	1 001
29	2154	2511	14.2	29.1	Poor
	1830	1279	6.8	4.3	1.001

<u>B 1.3: Semi-Circular Bend (SCB) Test Results (Continued)</u>

Mix ID	Pre-MIST FE-SCB (J/m ²)	Post-MIST FE-SCB (J/m ²)	Pre-MIST SCB-FI	Post-MIST SCB-FI	Performance
20	2116	1624	10.9	19.6	Door
30	1868	2167	10.0	13.9	Poor
21	1656	1461	11.7	9.4	Door
51	1501	2098	8.3	10.0	FOOL
22	1618	2041	5.3	12.9	Deen
32	1760	1981	6.5	9.3	Poor
22	6373	7236	21.6	28.4	Deen
33	7268	8250	15.6	27.3	Poor
34	4647	6229	14	33.13	Door
54	3724	7071	9.24	42.09	FUUI
25	3472	3352	33	9	Door
35	3908	2018	28	14	Poor
26	4464	7627	16.4	30.5	Cood
30	5224	6437	10.4	66.5	Good
27	4735	6603	19.2	65.4	Door
57	5469	7677	11.2	25.0	Poor
20	4460	6475	10.8	35.5	Deer
38	4126	5267	14.4	41.9	POOr

B 1.3: Semi-Circular Bend (SCB) Test Results (Continued)

<u>B 1.4 Image Analysis Test Results</u>

Mix ID	Pre-MiST BP	Post-MiST BP	Performance
	1,010,291	978,408	
1	1,141,262	1,134,294	Poor
	1,038,071	1,011,413	
	1,007,005	925,790	
2	1,071,046	983,131	Poor
	1,077,759	972,644	
	1,033,228	972,356	
3	1,017,279	985,534	Poor
	1,086,507	1,018,256	
	814,911	706,196	
4	872,090	830,722	Poor
	681,774	716,465	
	693,578	629,041	
5	888,052	762,772	Good
	749,112	686,108	
6	970,678	947,315	Good
0	904,930	897,741	0000
	1,137,475	1,038,928	
7	1,153,778	1,138,692	Poor
	1,093,188	994,099	
8	956,945	788,959	Poor
0	904,607	873,384	1 001
Q	1,154,746	1,063,228	Good
,	1,008,781	999,374	0000
10	1,183,649	912,588	Poor
10	1,127,065	959,536	1 001

Mix ID	Pre-MiST BP	Post-MiST BP	Performance
11	1,391,946	1,063,004	Door
11	994,586	1,123,856	POOL
12	1,727,461	1,537,324	Door
12	1,325,461	1,245,705	FOOL
13	975,145	1,118,488	Poor
15	1,130,883	1,171,842	FOOI
14	1,131,818	1,258,295	Door
14	1,150,490	1,177,217	FOOI
	1,137,475	1,038,928	
15	1,153,778	1,138,692	Poor
	1,093,188	994,099	
	1,174,631	1,145,773	
16	1,095,687	1,046,168	Good
	1,069,659	1,068,777	
	1,047,827	972,173	
17	1,098,234	1,020,550	Good
	982,165	985,254	
	1,150,506	938,538	
18	1,088,635	944,689	Poor
	1,079,996	1,048,768	
	1,277,342	1,248,508	
19	1,160,895	1,150,637	Poor
	1,142,066	1,229,810	
	1,060,752	839,974	
20	1,131,280	988,451	Poor
	1,163,319	933,039	

<u>B 1.4 Image Analysis Test Results (Continued)</u>

Mix ID	Pre-MiST BP	Post-MiST BP	Performance
	1,158,004	1,143,924	
21	1,212,518	1,160,045	Good
	1,201,074	1,142,271	
	1,051,077	1,047,136	
22	1,049,149	1,044,375	Poor
	1,104,430	973,207	
	1,191,804	1,128,308	
23	1,102,318	1,182,437	Poor
	1,259,000	1,269,401	
	1,137,475	1,038,928	
24	1,153,778	1,138,692	Poor
	1,093,188	994,099	
	1,216,311	1,173,882	
25	1,268,376	1,335,062	Good
	1,231,424	1,267,254	
	1,237,163	1,159,887	
26	1,221,850	1,139,165	Poor
	1,276,713	1,197,743	
	1,269,161	1,206,124	
27	1,279,569	1,239,816	Poor
	1,279,079	1,218,941	
	1,306,800	1,120,165	
28	1,126,651	1,043,230	Poor
	1,139,342	1,044,185	
	1,094,033	1,196,944	
29	1,083,729	1,278,013	Poor
	1,187,779	750,163	

<u>B 1.4 Image Analysis Test Results (Continued)</u>

Mix ID	Pre-MiST BP	Post-MiST BP	Performance
	1,020,421	1,063,842	
30	1,009,413	982,701	Poor
	1,047,661	1,146,366	
	1,126,095	1,038,668	
31	1,143,383	1,119,564	Poor
	1,143,202	1,201,890	
	1,176,559	1,139,186	
32	1,235,084	976,573	Poor
	1,292,558	1,078,473	
	1,157,109	984,341	
33	1,119,174	971,419	Poor
	1,100,731	990,687	
34	969,999	985,392	Door
54	925,629	919,644	FOOI
	1,172,117	978,559	
35	1,327,742	877,292	Poor
	1,269,212	892,244	
36	1,266,053	1,229,098	Good
50	1,171,153	1,288,366	UUUU
37	1,277,099	1,270,572	Good
57	1,196,021	1,169,908	UUUU
	1,210,191	1,171,279	
38	1,251,383	1,113,528	Poor
	1,185,414	1,160,795	

<u>B 1.4 Image Analysis Test Results (Continued</u>
--

B 1.5 MIST Effluent Analysis Re
--

Mix ID	DOC, mg	LOM, mg	FM	Performance
1	0.01	52	2.2	
	4.3	62	1.9	Poor
	1.1	135	3.2	
	19.5	53	2.2	
2	2.8	139	2.7	Poor
	4.8	107	2.2	
	11.9	108	2.0	
3	0.4	144	2.0	Poor
	7.7	88	2.3	
	10.7	131	2.5	
4	13.1	106	2.4	Poor
	11.0	155	4.1	
	11.7	188	3.7	
5	10.7	118	3.3	Good
	10.0	129	2.5	
6	11.8	159	3.1	C 1
0	8.7	117	3.9	Good
	104.7	471	3.4	Poor
7	131.0	877	2.2	
	163.0	245	1.3	
0	32.5	48	2.9	Poor
8	13.3	129	2.7	
9	9.7	64	2.3	Good
	9.3	91	2.5	
10	12.6	256	3.8	Poor
	10.4	145	2.8	

Mix ID	DOC, mg	LOM, mg	FM	Performance
11	9.4	187	1.8	Door
	11.7	370	3.6	FOOL
10	16.0	142	3.2	Deer
12	15.2	51	2.0	Poor
12	15.2	308	1.7	Deer
15	14.3	62	2.6	Poor
1.4	10.2	107	3.6	Deer
14	11.7	563	3.5	Poor
	13.7	208	3.0	
15	10.7	42	3.0	Poor
	8.5	65	2.9	
	13.8	208	2.1	
16	11.7	150	4.3	Good
	10.9	214	2.8	
	9.2	547	3.1	Good
17	7.4	222	2.2	
	8.4	156	2.5	
	10.1	153	2.6	
18	6.6	138	2.3	Poor
	12.9	175	2.7	
	6.4	173	3.3	Poor
19	14.0	132	2.4	
	10.1	88	2.3	
20	10.4	51	2.8	Poor
	10.6	59	3.2	
	9.3	41	3.0	

<u>B 1.5 MiST Effluent Analysis Results (Continued)</u>

Mix ID	DOC, mg	LOM, mg	FM	Performance
21	11.7	87	2.2	
	10.4	54	2.8	Good
	9.3	55	2.7	
	15.7	555	2.6	
22	17.8	429	2.3	Poor
	19.1	441	1.9	
	10.9	92	2.5	
23	11.7	121	2.4	Poor
	10.7	95	2.8	
	11.5	122	2.9	
24	10.7	68	3.1	Poor
	10.2	98	2.5	
	16.9	109.9	3.4	
25	18.5	56.8	3.0	Good
	18.0	142.9	3.1	
	19.9	146.5	2.3	Poor
26	20.1	105.1	2.4	
	20.3	82.9	2.5	
	8.0	110.1	2.9	
27	12.5	111.9	3.6	Poor
	10.7	143.4	2.5	
	7.8	43.8	2.6	Poor
28	9.6	164.9	2.6	
	8.4	68.8	4.1	
29	13.2	98.5	2.4	Poor
	10.9	67.8	2.6	
	14.3	102.5	3.7	

<u>B 1.5 MiST Effluent Analysis Results (Continued)</u>

Mix ID	DOC, mg	LOM, mg	FM	Performance
30	19.0	128.7	2.4	
	19.3	204.1	1.9	Poor
	17.2	65.9	2.9	
	14.4	176.1	3.2	Poor
31	15.2	156.2	2.1	
	16.0	209.6	3.1	
	12.8	100.8	2.2	
32	11.3	136.8	2.4	Poor
	12.8	113.1	2.3	
	8.1	148.5	3.1	
33	6.7	166.4	3.4	Poor
	7.7	180.7	2.8	
24	12.8	171.0	2.4	Poor
54	12.0	144.6	3.0	
	10.9	116.7	3.2	Poor
35	8.7	104.0	3.8	
	8.9	140.5	2.6	
36	12.0	131.4	2.2	Good
	10.8	41.1	2.0	
37	17.0	120.8	2.8	Good
	13.7	59.5	1.8	
38	11.6	136.6	2.3	
	9.6	123.8	2.9	Poor
	12.9	75.3	1.1	

<u>B 1.5 MiST Effluent Analysis Results (Continued)</u>

APPENDIX C

Appendix C consist of the MATLAB script used in this study to perform Machine learning correlation analysis, PCA, K- Nearest Neighbor (K-NN) and Naïve Bayes (NB) model. Furthermore, MATLAB script to develop an app using exported NB model is presented below.

C 1.1: MATLAB Code for K-Nearest Neighbor Model

```
data.Esratio = (data.PostMistEs)./(data.PreMistEs);
data.ITSratio = (data.TSR)./100;
data.FEITSratio = data.PostMISTFEITS./data.PreMISTFEITS;
data.FESCBratio = data.PostMISTFESCB./data.PreMISTFESCB;
data.FIratio = data.PostMISTFI./data.PreMISTFI;
data.BPratio = data.PostMISTBP./data.PreMISTBP;
save('data');
%convert performance column to categorical data
Perf = categorical(data.Performance);
8-----RCTUAL DATA for MODELING------
% Create a numeric data with only ratios
numData = data{:,{'Esratio','ITSratio','FEITSratio',...
   'BPratio', 'DOC'}};
corrplot(numData)
numData = zscore(numData);
[coeff,scrs,~,~,pexp] = pca(numData);
fig1 = figure(1);
pareto(pexp)
set(gca,'fontsize',12,'box','off');
xlabel('PC','Fontname','TimesNewRoman','Fontsize',15);
ylabel('Percent variance','Fontname','TimesNewRoman','Fontsize',15);
saveas(gcf, 'Barchartv18.jpg')
fig(2) = figure(2);
scatter3(scrs(:,1),scrs(:,2),scrs(:,3));
saveas(gcf,'3Dv18.jpg')
numData =
array2table(numData,'VariableNames', {'Esratio', 'ITSratio', 'FEITSratio',...
    'BPratio', 'DOC'});
%------
% randomnly selecting train, test data
train = randsample(height(numData),24);
% Take data other than train data
test = setdiff(1:height(numData),train);
% Name them from the actual data
trainData = numData(train,:);
```

```
testData = numData(test,:);
trainPerf = Perf(train);
testPerf = Perf(test);
kLosses = -1*ones(1, 10);
 % MODEL - here it is nearest neighbour
knnFit = fitcknn(trainData,trainPerf,'NumNeighbors',k);
 % Carry out k-fold cross validation
   CVmdl = crossval(knnFit, 'KFold', 5);
   kLosses(k) = kfoldLoss(CVmdl);
fig(3) = figure(3);
bar(1:10,kLosses)
% plotting
set(gca,'fontsize',15,'box','off');
xlabel('No. of nearest neighbor', 'Fontname', 'TimesNewRoman', 'Fontsize',15);
ylabel('Validation Error', 'Fontname', 'TimesNewRoman', 'Fontsize',15);
saveas(gcf, 'crossvalv18.jpg')
%-----PREDICTION, ERROR AND PLOTTING------PREDICTION,
% predict the test data
```

```
predPerf = predict(knnFit,testData);
```

```
\% testErr using loss function
```

```
testErr = loss(knnFit,testData,testPerf,'Lossfun','classiferror')
```

```
% Plotting confusion matrix
```

```
conf = confusionmat(testPerf,predPerf);
```

```
plotconfusion(dummyvar(testPerf)',dummyvar(predPerf)');
```

C 1.2: MATLAB Code for Naïve Bayes Model:

```
% finding the ratios
data.Esratio = (data.PostMistEs)./(data.PreMistEs);
data.ITSratio = (data.TSR)./100;
data.FEITSratio = data.PostMISTFEITS./data.PreMISTFEITS;
data.FESCBratio = data.PostMISTFESCB./data.PreMISTFESCB;
data.FIratio = data.PostMISTFI./data.PreMISTFI;
data.BPratio = data.PostMISTBP./data.PreMISTBP;
save('data');
%convert performance column to categorical data
Perf = categorical(data.Performance);
8-----RCTUAL DATA for MODELING-----
% Create a numeric data with only ratios
numData = data{:,{'Esratio','ITSratio','FEITSratio',...
   'BPratio', 'DOC'}}
corrplot(numData)
numData = zscore(numData);
[coeff,scrs,~,~,pexp] = pca(numData);
fig1 = figure(1);
pareto(pexp)
set(gca,'fontsize',12,'box','off');
xlabel('PC', 'Fontname', 'TimesNewRoman', 'Fontsize', 15);
ylabel('Percent variance','Fontname','TimesNewRoman','Fontsize',15);
saveas(gcf, 'Barchartv18.jpg')
fig(2) = figure(2);
scatter3(scrs(:,1),scrs(:,2),scrs(:,3));
saveas(gcf,'3Dv18.jpg')
%------MODELING-----
% randomnly selecting train, test data
train = randsample(height(numData),19);
% Take data other than train data
test = setdiff(1:height(numData),train);
% Name them from the actual data
trainData = numData(train,:);
testData = numData(test,:);
trainPerf = Perf(train);
testPerf = Perf(test);
kLosses = -1*ones(1, 10);
```

```
knbFit = fitcnb(trainData,trainPerf);
% Carry out k-fold cross validation
CVmdl = crossval(knbFit, 'KFold', 4);
kLosses = kfoldLoss(CVmdl);
% plotting
set(gca,'fontsize',15,'box','off');
xlabel('No. of nearest neighbor', 'Fontname', 'TimesNewRoman', 'Fontsize',15);
ylabel('Validation Error', 'Fontname', 'TimesNewRoman', 'Fontsize', 15);
saveas(gcf, 'crossvalv18.jpg')
%-----PREDICTION, ERROR AND PLOTTING-----
%predict the test data
predPerf = predict(knbFit,testData);
%testErr using loss function
testErr = loss(knbFit,testData,testPerf,'Lossfun','classiferror')
%Plotting confusion matrix
conf = confusionmat(testPerf,predPerf);
plotconfusion(dummyvar(testPerf)',dummyvar(predPerf)');
```

C 1.3: MATLAB Code for The App Development:

```
classdef ramapp < matlab.apps.AppBase</pre>
```

```
% Properties that correspond to app components
properties (Access = public)
    UIFigure
                              matlab.ui.Figure
   EsratioEditFieldLabel
                              matlab.ui.control.Label
    Esratio
                               matlab.ui.control.NumericEditField
    ITSratioEditFieldLabel
                              matlab.ui.control.Label
    ITSratio
                              matlab.ui.control.NumericEditField
    FEITSratioEditFieldLabel
                              matlab.ui.control.Label
                              matlab.ui.control.NumericEditField
    FEITSratio
   BPratioEditFieldLabel
                              matlab.ui.control.Label
                              matlab.ui.control.NumericEditField
   BPratio
    DOCEditFieldLabel
                              matlab.ui.control.Label
                              matlab.ui.control.NumericEditField
    DOC
   ClassifyButton
                               matlab.ui.control.Button
    PerformanceEditFieldLabel matlab.ui.control.Label
                               matlab.ui.control.EditField
    Perf
end
```

```
methods (Access = private)
    % Callback function: ClassifyButton, Perf
    function ClassifyButtonPushed(app, event)
        load('knbFit.mat');
        X = [app.Esratio.Value app.ITSratio.Value app.FEITSratio.Value
app.BPratio.Value app.DOC.Value];
        z = predict(knbFit, X);
        app.Perf.Value = z{1};
        value = app.Perf.Value;
        end
    end
    % App initialization and construction
    methods (Access = private)
```

```
% Create UIFigure and components
function createComponents(app)
```

```
% Create UIFigure
app.UIFigure = uifigure;
app.UIFigure.Position = [100 100 640 480];
app.UIFigure.Name = 'UI Figure';
```

```
% Create EsratioEditFieldLabel
app.EsratioEditFieldLabel = uilabel(app.UIFigure);
app.EsratioEditFieldLabel.HorizontalAlignment = 'right';
app.EsratioEditFieldLabel.Position = [165 396 43 22];
app.EsratioEditFieldLabel.Text = 'Esratio';
```

```
% Create Esratio
app.Esratio = uieditfield(app.UIFigure, 'numeric');
app.Esratio.Position = [223 396 100 22];
```

```
% Create ITSratioEditFieldLabel
app.ITSratioEditFieldLabel = uilabel(app.UIFigure);
app.ITSratioEditFieldLabel.HorizontalAlignment = 'right';
app.ITSratioEditFieldLabel.Position = [160 351 47 22];
app.ITSratioEditFieldLabel.Text = 'ITSratio';
```

```
% Create ITSratio
app.ITSratio = uieditfield(app.UIFigure, 'numeric');
app.ITSratio.Position = [222 351 100 22];
```

```
% Create FEITSratioEditFieldLabel
app.FEITSratioEditFieldLabel = uilabel(app.UIFigure);
app.FEITSratioEditFieldLabel.HorizontalAlignment = 'right';
app.FEITSratioEditFieldLabel.Position = [142 299 63 22];
app.FEITSratioEditFieldLabel.Text = 'FEITSratio';
```

```
% Create FEITSratio
app.FEITSratio = uieditfield(app.UIFigure, 'numeric');
app.FEITSratio.Position = [220 299 100 22];
```

```
% Create BPratioEditFieldLabel
            app.BPratioEditFieldLabel = uilabel(app.UIFigure);
            app.BPratioEditFieldLabel.HorizontalAlignment = 'right';
            app.BPratioEditFieldLabel.Position = [162 255 45 22];
            app.BPratioEditFieldLabel.Text = 'BPratio';
            % Create BPratio
            app.BPratio = uieditfield(app.UIFigure, 'numeric');
            app.BPratio.Position = [222 255 100 22];
            % Create DOCEditFieldLabel
            app.DOCEditFieldLabel = uilabel(app.UIFigure);
            app.DOCEditFieldLabel.HorizontalAlignment = 'right';
            app.DOCEditFieldLabel.Position = [176 209 32 22];
            app.DOCEditFieldLabel.Text = 'DOC';
            % Create DOC
            app.DOC = uieditfield(app.UIFigure, 'numeric');
            app.DOC.Position = [223 209 100 22];
            % Create ClassifyButton
            app.ClassifyButton = uibutton(app.UIFigure, 'push');
            app.ClassifyButton.ButtonPushedFcn = createCallbackFcn(app,
@ClassifyButtonPushed, true);
            app.ClassifyButton.Position = [223 131 100 22];
            app.ClassifyButton.Text = 'Classify';
            % Create PerformanceEditFieldLabel
            app.PerformanceEditFieldLabel = uilabel(app.UIFigure);
            app.PerformanceEditFieldLabel.HorizontalAlignment = 'right';
            app.PerformanceEditFieldLabel.Position = [185 78 74 22];
            app.PerformanceEditFieldLabel.Text = 'Performance';
            % Create Perf
            app.Perf = uieditfield(app.UIFigure, 'text');
            app.Perf.ValueChangingFcn = createCallbackFcn(app,
@ClassifyButtonPushed, true);
            app.Perf.Position = [274 78 100 22];
```

```
end
end
methods (Access = public)
    % Construct app
    function app = ramapp
        % Create and configure components
        createComponents(app)
        % Register the app with App Designer
        registerApp(app, app.UIFigure)
        if nargout == 0
            clear app
        end
    end
    \% Code that executes before app deletion
    function delete(app)
        % Delete UIFigure when app is deleted
        delete(app.UIFigure)
    end
end
```

end